an introduction to the phonologymorphology interface

Basic question:

What does a speaker know when s/he knows a language?

Basic question:

What does a speaker **know** when s/he knows a language?

Not the same as:

- 1) What does a speaker do when speaking a language?
- 2) What does the speaker have to know to speak?

[mæn]



[mæn]
[mæn-li]
[mæn-hʊd]
[mæn-meɪd]



[mæn] [mæn-li] [mæn-hʊd] [mæn-meɪd]

m+æ+n



This concept is expressed by producing an ordered set of accoustic signals

[mæn]

[mæn-li]

[mæn-hʊd]

[mæn-meɪd]

[poʊst-mən]

Is this not the same entity?



There is a reason for this pronunciation:

[m**æ**n]

[m**æ**n-li]

[m**æ**n-hʊd]

[mæn-meid]

[póʊst-mən]

Indeed, unstressed [æ] reduces to [ə] in English

Again, what does the speaker know?

Again, what does the speaker know?

- 1) m+æ+n
- 2) *æ_[-stress]

Is this enough? *[poʊstmɪn, poʊstmn]

Again, what does the speaker know?

- 1) m+æ+n
- 2) $*e_{[-stress]}$ and
- 3) Unstressed $\approx => [=]$

Again, what does the speaker know?



1) m+æ+n == specific information

- *æ_[-stress]
 Unstressed æ => [ə]



General

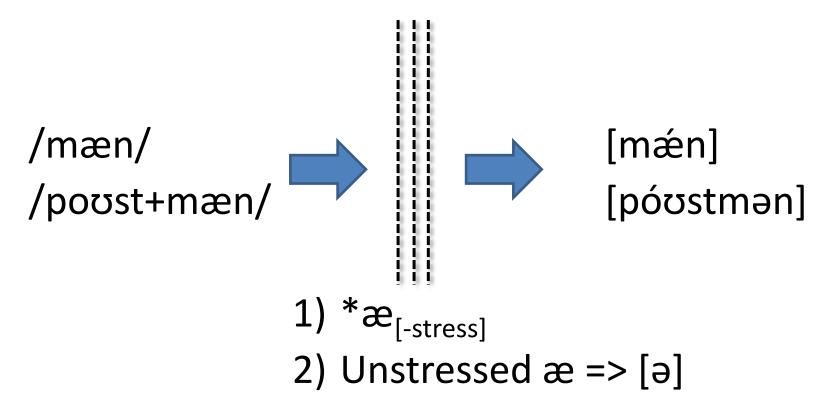
Again, what does the speaker know?

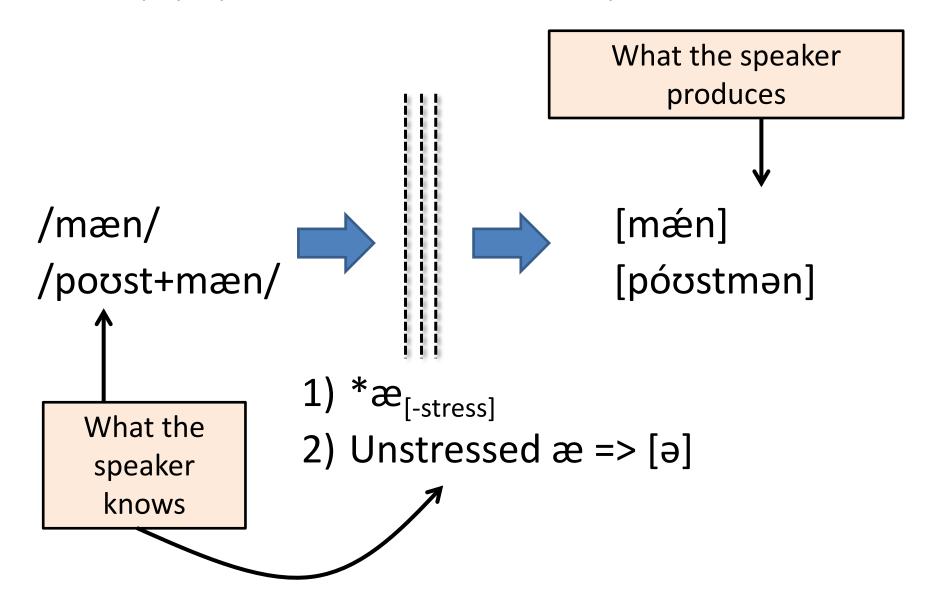
m+æ+n == specific information

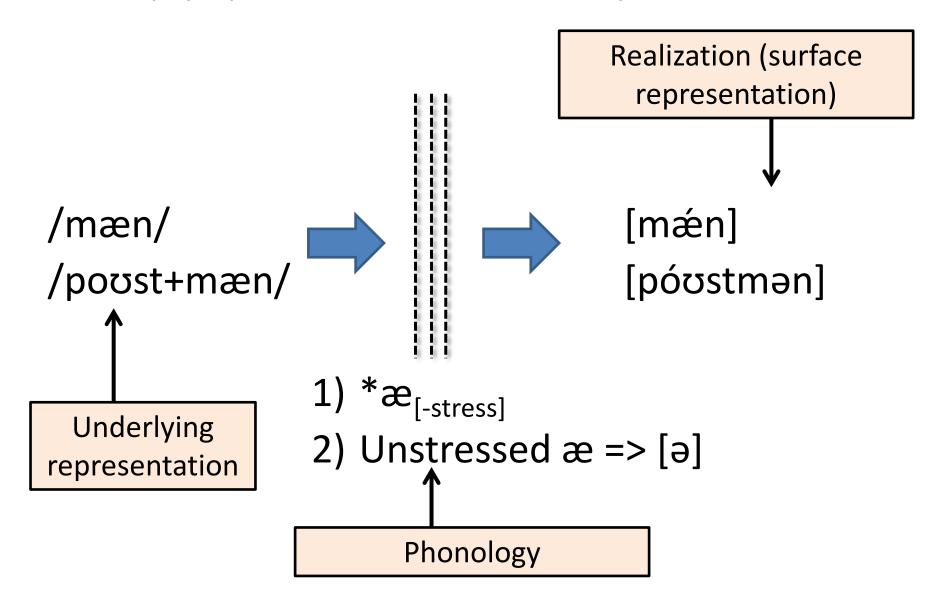
- 1) *æ_[-stress]
 2) Unstressed æ => [ə]

(the rules are not about this word, are blind to its meaning)

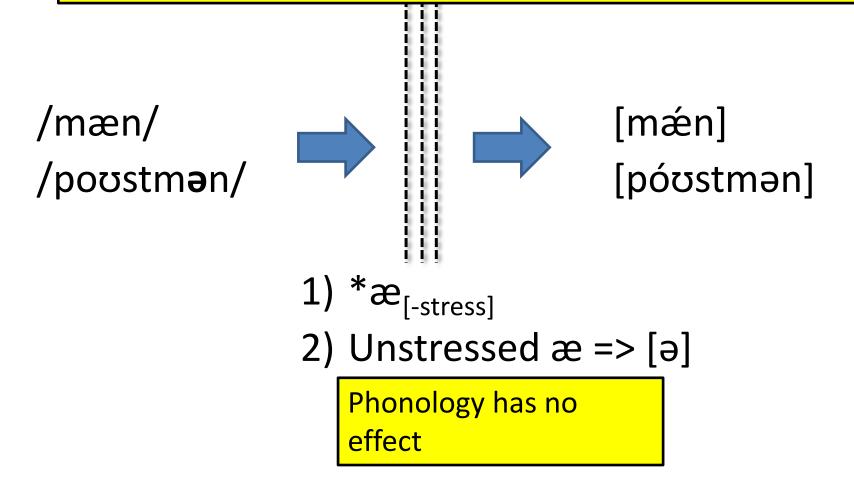
How the system works



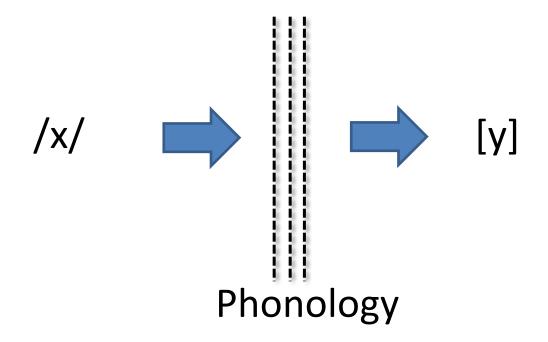




Objection no 1: maybe *postman* does not really include *man*, or that its pronunciation is already part of the knowledge of the speaker:



That may be the case for *postman* and *man*. But it does not affect the overall architecture of language. **All linguists agree** that there can be a difference between what we know or intend to produce and what we produce.



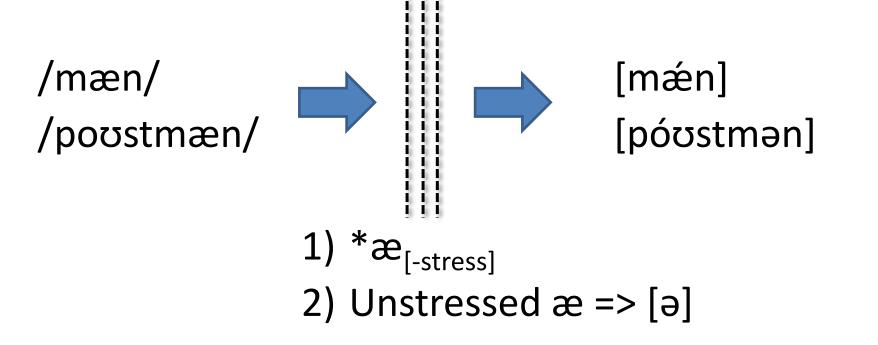
Recall:

What does a speaker **know** when s/he knows a language?

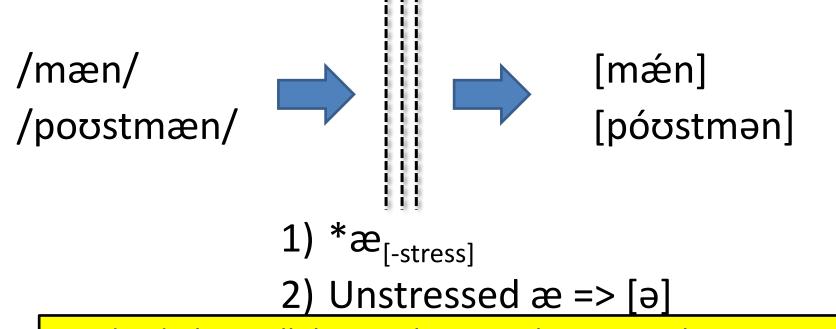
Not the same as:

What does the speaker have to know to speak?

Objection no 2: this architecture suggests that the system is economic: it doesn't memorize information about the realization of specific items that is already encoded as a phonological rule.

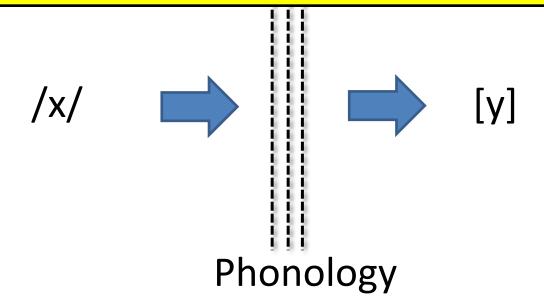


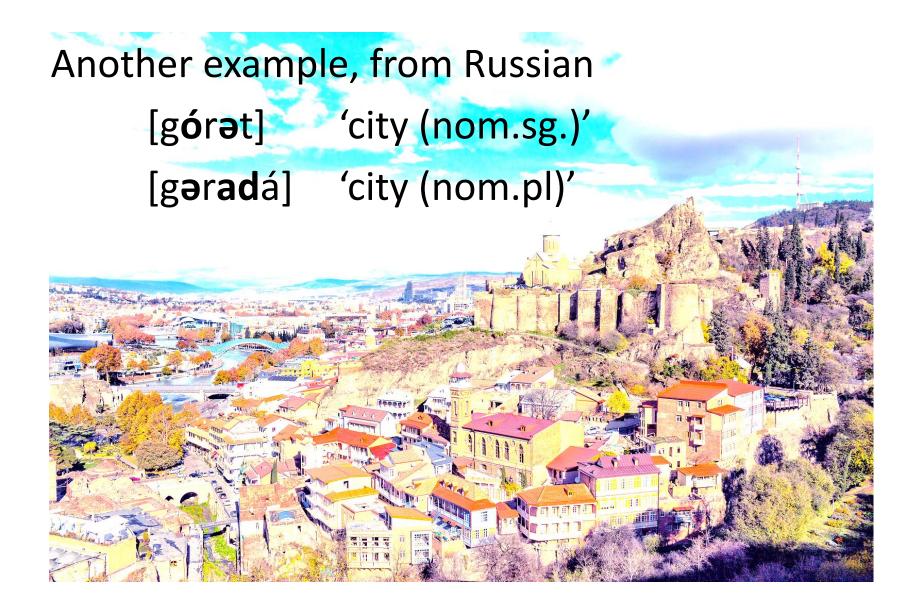
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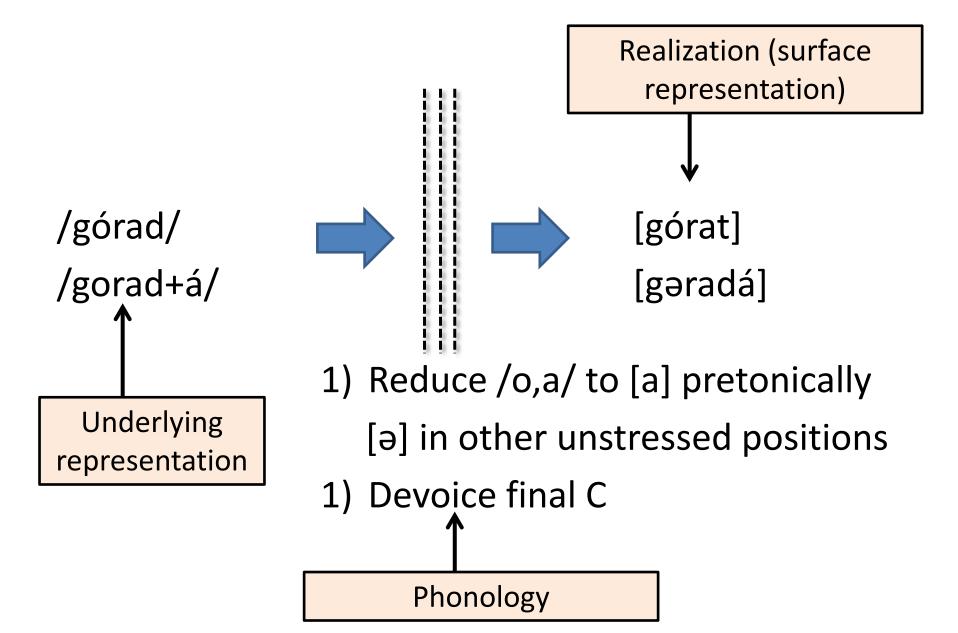


... indeed, this is all the speaker **must** know. But this is not our goal! Our question is what the speaker really knows!

Again, it may be the case that speakers store redundant information, especially for frequent words. But again this does not affect the overall architecture of language. **All linguists agree** that some redundant information is not part of what we know.







Summary of basic tools and assumptions

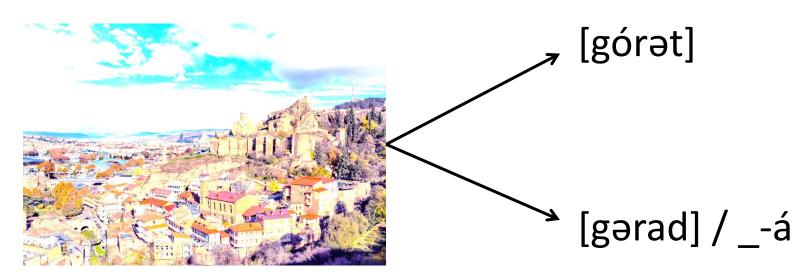
1) Underlying representations



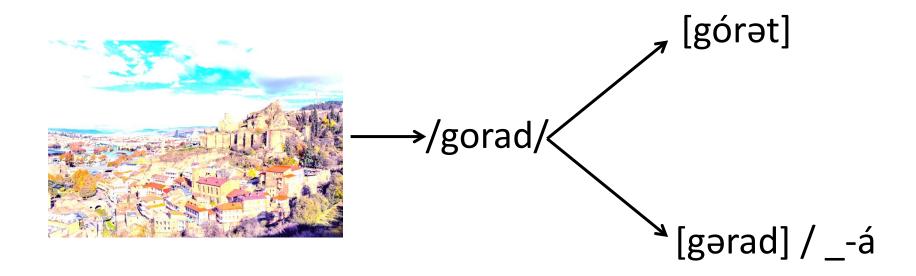
- 2) Surface representations
- 3) "Phonology": a component which applies to the UR, possibly altering it, and results in a SR.
- 4) An architecture that is (to some extent) economic.

First approximation

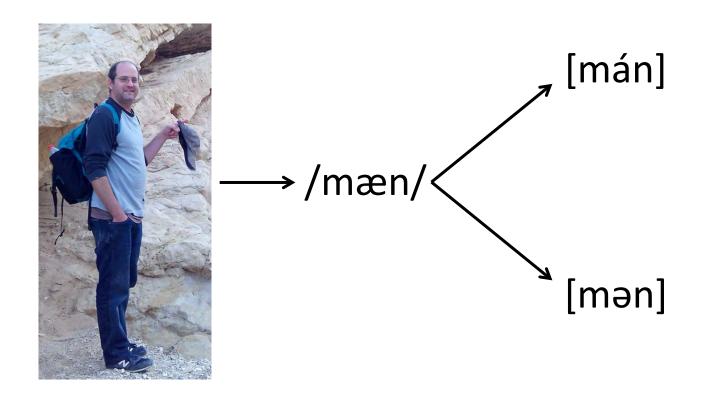
"The scenario under which the same unit of meaning has two or more mutually exclusive realizations"



This representation "jumps a stage" in our architecture, namely the UR. Let us put it in:



Back to english



In both of these cases, the changes in the stem

- 1) have nothing to do with its meaning.
- 2) result from the sounds of the stem appearing in a different phonological configuration
- 3) reflect general rules of the phonology of the languages
- 4) Apply to single segments:

Will be realized as [o] because stressed

Will be realized as [ə] because unstressed and not immediately pretonic

Will be realized as [t] because final

/goradá/

Will be realized as [ə] because unstressed and not immediately pretonic

Will be realized as [a] because immediately pretonic

Will be realized as [d] because not final

Now recall:

First approximation

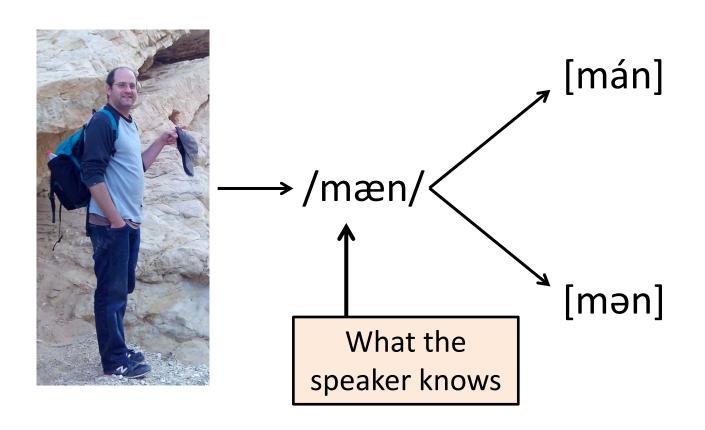
"The scenario under which the same unit of meaning has two or more mutually exclusive realizations"

 In both cases examined, it is not the unit of meaning that has two realizations, but rather the segment.

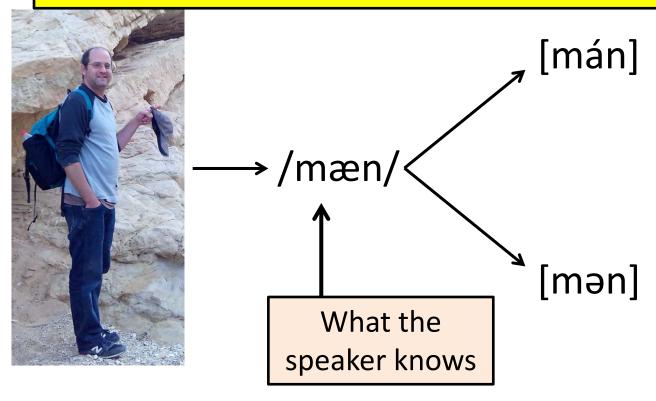
• The unit of meaning comes to have two realizations because one or more of its segments has one, but this is epiphenomenal.

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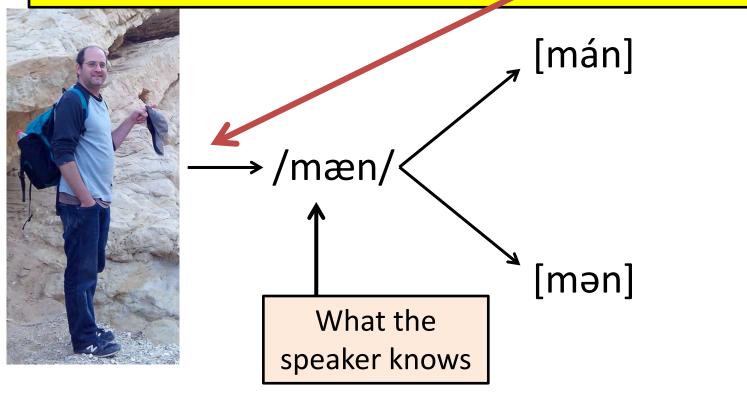
 Crucially, what the speaker knows in this case is only one form:



Indeed, in our architecture, the unit of meaning is never in direct relation to its realizations. For it to have two correspondets, the split must occur "earlier."



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Consider now the following case from Hebrew

singular plural

'line' pas pas-im

'tray' tas tas-im

Nonalternating stem

but

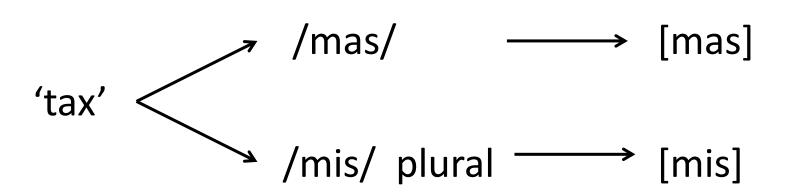
'tax' mas mis-im

Alternating stem

There is no phonological reason for this alternation.

Moreover, it is the only word in Hebrew to display this alternation in this environment.

In such cases, it seems inescapable and uncontroversial to assume **two underlying representations**



Allomorphy - definition

"The scenario under which the same unit of meaning has two or more mutually exclusive underlying representations"

(underlying = lexical, stored)

Allomorphy - definition

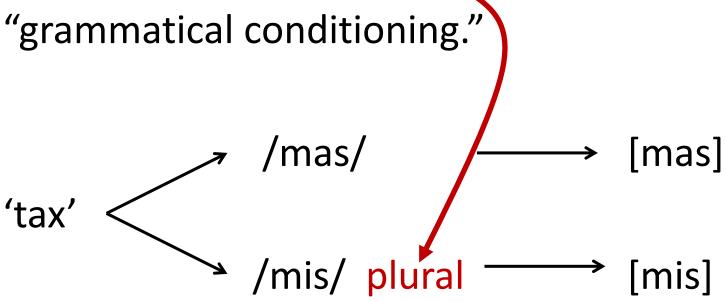
"The scenario under which the same unit of meaning has two or more mutually exclusive underlying representations"

(underlying = lexical, stored)

To be distinguished from epiphenomenal allomorphy, wherein there's only one UR.

Conditioning

The allomorphy from Hebrew was conditioned by grammatical information. This is called



Conditioning

Although we will discuss such cases, our main concern will be with **Phonologically-conditioned allomorphy.**

Argentinian Spanish

1sg.indic infinitive

'drink' t**ó**m-o t**o**m-ár

Alternating stem

'ring' s**wé**n-o s**o**n-ár

Nonalternating stem

Argentinian Spanish

1sg.indic

infinitive

'drink'

t**ó**m-o

tom-ár

Alternating stem

'ring'

swén-o

son-ár

Spanish phonology does not rule out either stressed [ó], as shown, or unstressed [we], as in [kwestjón].

Nonalternating stem

Palestinian Arabic

3msg.past +3ms.obj

'write' kátab kátab-**o**

Neg. katab-**ó**ː-∫

'throw' ráma ram**á**-ː

Neg. rama-**hó**ː-ʃ

If 3ms.obj can be realized [hoː], then why not have this realization throughout? Arabic *phonology* does not rule out *katabho, katabhof, ramaho...*

3msg.past +3ms.obj

'write' kátab kátab-**o**

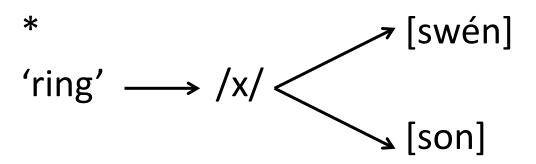
Neg. katab-**ó**ː-∫

'throw' ráma ramáː

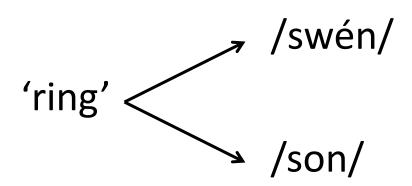
Neg. rama-**hó**ː-∫

The phonology of these languages does not auomatically provide the two realizations;

There is no /x/ such that it can be fed into the phonological filter of Spanish and make the following correct:

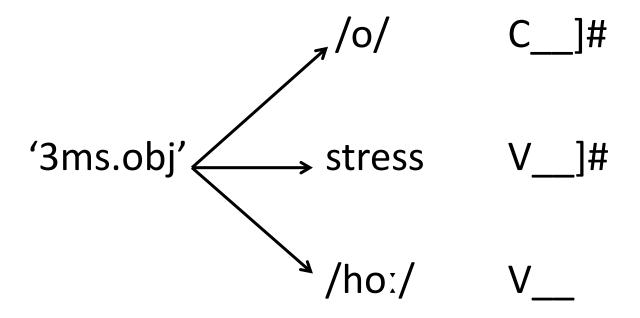


Again, it seems more correct to assume two underlying representations



Stress, a phonological entity, determines which allomorph will be selected.

Palestinian



Again, the phonological environment determines which allomorph will be selected.

Hiatus (a sequence of two tautosyllabic vowels) is allowed in French:

[neã] 'nothingness'

[ʒeã] 'immense'

[neo] 'neon'

[zeolozi] 'geology'

Such hiatus is sometimes created by the concatenation of prefix+base

[pχe-okype] 'worried'

[pxe-agaze] 'pre-committed'

[pχe-buʃe] 'pre-capped'

[pχe-nazalize] 'pre-nasalized'

But after some prefixes, a consonant surfaces if and only if hiatus will result from prefix+stem:

[de**z**-okype] 'vacated'

[de**z**-ãgaʒe] 'uncommitted'

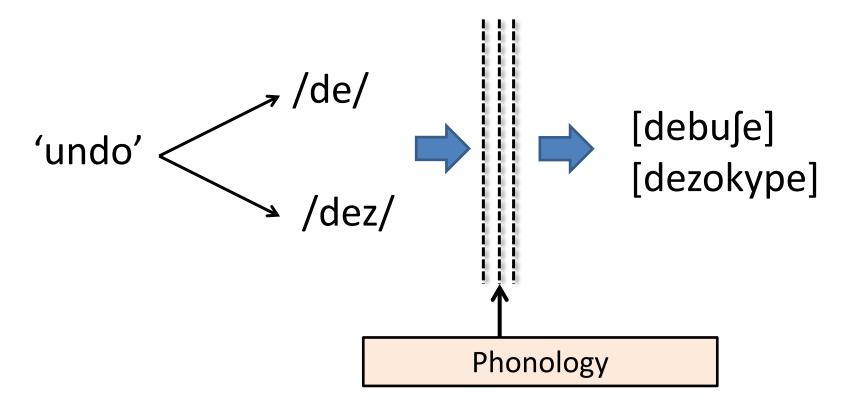
[de-buse] 'uncapped'

[de-nazalize] 'denasalized'

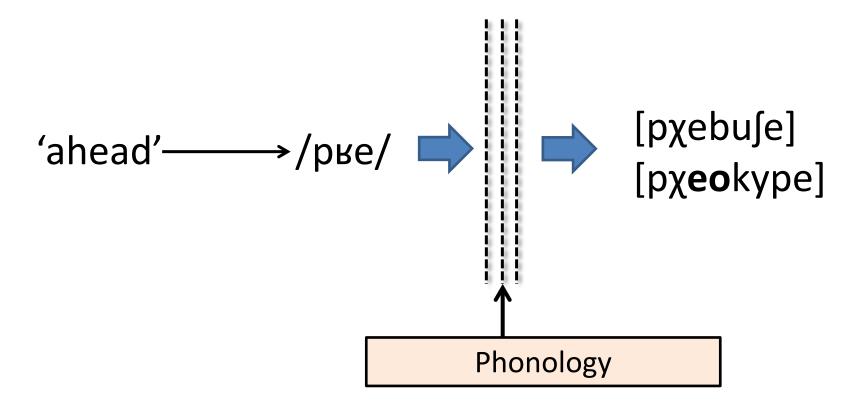
The choice of [dez] over [de] before a vowel prevents hiatus and makes the form better phonologically. It is **phonologically-optimizing.**

But the possibility of preventing hiatus, and the specific strategy to prevent it, are specific to this prefix.

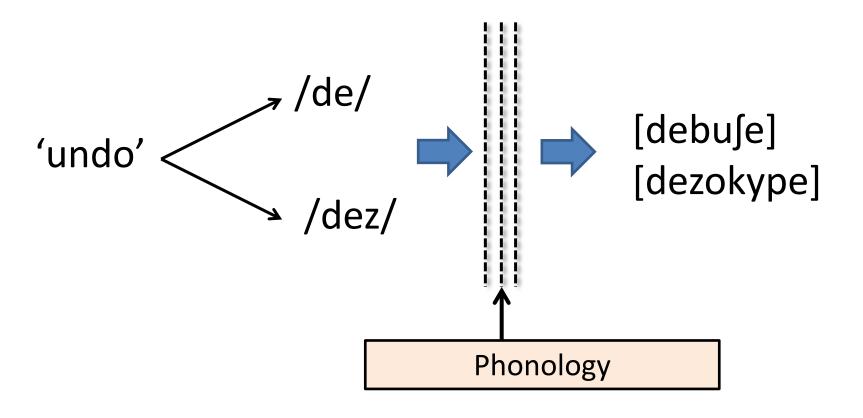
For these reasons, many phonologists assume the following architecture



This contrasts with the situation in other prefixes, where there aren't two allomorphs



Phonology here is doing something quite different from what we saw before: it not only makes a UR conform to the rules of the language, but also selects between URS



Conditioning and optimization

But other phonologists argue against this view, for two main reasons:

1) It mixes levels, in that phonology is no longer interpretive.

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Conditioning and optimization

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- 2) Many cases of phonological conditioning are not optimizing...

More on this in the next class. For now -

Summary of 1st class

 All phonological approaches must have at least two levels of linguistic reality.

 In realization, there are at least three: concept, UR, and SR

 When one UR is split into two SR, it is epiphenomenal allomorphy – in fact only phonology is at work.

Summary of 1st class

 Allomorphy is one concept being split into two URs.

 Allomorphy can be conditioned by the phonological environment or by the grammatical environment (everything else)

 Within phonologically-conditioned allomorphy, there are optimizing and non-optimizing cases.

Summary of 1st class

 There is a debate whether optimizing cases are the result of the application of phonology or not.

In the next classes

• How is allomorph selection in the phonology formalized?

The autosegmental alternative.

• What is so problematic about allomorph selection in the phonology?

The limits of allomorphy.

In the next classes

Allomorphy and the architecture of grammar.

Are all allomorphies equal? Weak and strong suppletions

 Is allomorphy really that bad? Paradigm Uniformity

Etc.

an introduction to the phonologymorphology interface

2nd class: formalizations and representations

Through the prism of allomorphy, we saw two possibly incompatible views of phonology:

1) Phonology as blind filter

2) Phonology also as a UR selector

2nd class: formalizations and representations

Today we will see:

1) Formalizations of optimization

2) Richer representations

3) Should one always go for allomorphy?

Formalization of UR selection

Recall the simple case of allomorphy from French

[de-buʃe] but [dez-okype]

vs.

[pχe-buʃe] but [pχe-okype]

*[pχez-okype]

Optimality Theory (Prince & Smolesky 1993)

For a given UR, the grammar evaluates several outputs by means of a constraint hierarchy:

/górad/	*C _[+voice]]#	*ÚCa	FaithC
a. górət			*
b. górat		*!	
c. górad	*!		

Optimality Theory (Prince & Smolesky 1993)

The candidate that violates the lowest ranking constraint is the « last man standing »; it is the optimal candidate.

/górad/	*C _[+voice]]#	*ÚCa	FaithVoice
a. [górət]			*
b. [górat]		*!	
c. [górad]	*! ^		

Lethal violation: the candidate exits the competition because it violates a constraint that other competing candidates do not violate

Non-lethal violation: the candidate violates a constraints, but there is no other candidate to compete with it

Optimality Theory (Prince & Smolesky 1993)

Phonology in this case is two things: 1) a SR generator, and 2) an evaluator of UR-SR relations

/górad/	*C _[+voice]]#	*ÚCa	FaithC
☞ a. górət			*
b. górat		*!	
c. górad	*!		

For allomorphy, we have seen that there are two URs. One may assume that they are both in the input:

/de/)	*Hiatus	*CCV (*Coda)
∫/de/		
☞ a. debuʃe		
b. dezbu∫e		*!

For allomorphy, we have seen that there are two URs. One may assume that they are both in the input:

[/de/]	*Hiatus	*CCV (*Coda)
/dez/j+/okype/		
a. [deokype]	*!	
☞ b. [dezokype]		

Cases with no allomorphy simply will not have the option of avoiding hiatus (Dep punishes candidates with segments that aren't there in the input)

/bre/ + \okybe\	Dep	*Hiatus	*CCV
			(*Coda)
a. [pχeokupe]		*	
b. [pχe z okupe]	*!		

Cases with no allomorphy simply will not have the option of avoiding hiatus (Dep punishes candidates with segments that aren't there in the input)

/ʒeoloʒi/	Dep	*Hiatus	*CCV
			(*Coda)
a. [ʒeoloʒi]		*	
b. [ʒe z oloʒi]	*!		

/de/	Dep	*Hiatus	*CCV
/dez/ + /okype/			(*Coda)
a. [deokype]		*!	
☞ b. [dezokype]			

\bre\ + \okybe\	Dep	*Hiatus	*CCV
			(*Coda)
a. [pχeokupe]		*	
b. [pχe z okupe]	*!		

/de/	Dep	*Hiatus	*CCV
/dez/ + /okype/			(*Coda)
a. [deokype]		*!	
🕝 b. [dezokype]			

The trick: unlike *[pxez], [dez] does not violate Dep, because it is a lexically-stored option

\bre\ + \okype\	Dep	*Hiatus	*CCV
			(*Coda)
a. [pχeokupe]		*	
b. [pχe z okupe]	*!		

/de/ /dez/ +/okype/	Dep	*Hiatus	*CCV (*Coda)
a. [deokype]		*!	

This formalizes the fact that there is **phonological optimization** in the choice of [dez] or [de]. In essence, the analysis **hard-wires solutions to well-formedness constraints into the lexical knowledge**, in this case in the form of two underlying representations.

a. [pχeokupe]		*	
b. [pχe z okupe]	*!		

/de/ /dez/ +/okype/	Dep	*Hiatus	*CCV (*Coda)
a. [deokype]		*!	

This formalizes the fact that there is **phonological optimization** in

The price for this trick is to complicate the role of phonology and abandon the idea of phonology as a "blind" interpretive module

representations.

ு a. [pχeokupe]		*	
b. [pχe z okupe]	*!		

Representations: an alternative

Maybe there is a way around this complication of the role of phonology.

In the first class, we assumes that URs contained sequences of basic sound units (phonemes):

/m æ n/

Representations

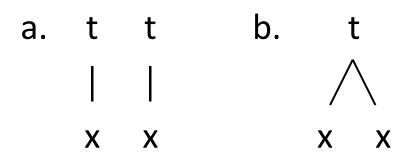
But nowadays most phonologists would agree that this view is too simplistic.

Rather, representations involve at least two tiers:

Representations

Such representations are especially helpful in the understanding of long segments, e.g. Italian [fatːo] 'done'.

Rather than just two identical consecutive segments (a), they are the same segment attached to two positions (b)



Representations

Once the segmental and skeletal tiers are separated, one must recognize several possible deficient scenarios

CVCV Phonology (Lowenstamm 1996, Scheer 2004)

A phonological theory in whose representations the skeletal tier is composed of CV units (strictly alternating Cs and Vs):

```
a. mæn b. mæn li
| | | | | | | | |
C V C V C V C V C V

[mæn] [mænli]
```

CVCV Phonology (Lowenstamm 1996, Scheer 2004)

Thus, phonetically V-initial words in this theory begin with an empty V slot:

[okype]

Back to [dez] ~ [de], within this framework, we can assume that the lexical representation of this morpheme involves a floating segment, with no C-slot:

Before a C-initial base, there is no position for the floating segment to dock onto, and it cannot be realized

But before a V-initial base, there is such a position

But before a V-initial base, there is such a position

But before a V-initial base, there is such a position

(The CVCV skeleton is independently motivated – it was not invented to solve this problem)

This analysis assumes

- 1) Segments seek to dock (be realized)
- 2) Segments may remain unrealized

As the OT analysis, it conveys the optimization in the realization

The analysis has the advantages that

- 1) Phonology remains interpetative
- 2) There is only one UR

It has the disadvatage that

1) it integrates another tier into the UR

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- 1) Phonology remains interpetative
- 2) There is only one UR

This is NOT allomorphy!!

It has the disadvatage that

1) it integrates another tier into the UR

Comparing the analyses

/de/	Dep	*Hiatus	*CCV
/dez/ + /okype/			(*Coda)
a. [deokype]		*!	
☞ b. [de z okype]			

/de/	Dep	*Hiatus	*CCV
/dez/ + /okype/			(*Coda)
a. [deokype]		*!	
b. [de z okype]			

Both assume an idiosyncracy in the representation

/de/ /dez/	Two URS	Dep	*Hiatus	*CCV (*Coda)
a. [d	eokype]		*!	
☞ b. [c	le z okype]			
	Floating C			
d e	z	0	k y p	e

/de/	Dep	*Hiatus	*CCV
/dez/ + /okype/			(*Coda)
a. [deokype]		*!	
b. [de z okype]			

But the two-UR analysis is less economic/elegant, because

- 1) Since /de.../ is common to both URs, the analysis does not encode the fact that the locus of variation is only the /z/.
- 2) it does not relate the possibility of this [z] to any independently-available option in the theory.

Note that there is nothing about OT that forces one to have two URs in such cases. One can integrate representations into OT and have the same analysis as in CVCV

/de z/ + /okype/ CV CVCVCV	Dep	No floating
a. [dezok ype] CVCVCVCV		
b. [dez okype] CV CVCVCV		*!

Note that there is nothing about OT that forces one to have two URs in such cases. One can integrate representations into OT and have the same analysis as in CVCV

/de z/ + /buʃe/ CV CVCV	Dep	No floating
a. [dez b u ʃe] CV <mark>CV</mark> CVCV	*!	
b. [dez bu se]		*

Note on the cost of allomorphy

The assumption here:

- allomorphy is costly
- and if a single UR solution works, it's better

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- allomorphy is costly
- and if a single UR solution works, it's better

But recall that we want to know what the speaker knows, not the minimum s/he has to know. There is reason to think that much redundant information is stored...

How would we check what the speaker really knows?

Note on the cost of allomorphy

The assumption here:

- allomorphy is costly
- and if a single UR solution works, it's better

Moreover, if allomorphy is costly, why does it exist at all... The optimization in [dez],[de] can justify its existence; but as we will see, not all phon-con allomorphy is optimizing

Summary

 Any analysis of phonologically-optimizing allomorphy must encode the possibility to optimize in the representation.

 Autosegmental analyses with floating, optional segments are less ad-hoc and – when the two allomorphs are similar, which is nearly always – more economic.

Problems

How abstract can you be?

When the loser is not problematic

When the phon-con allomorphy is not optimizing

Is all allomorphy epiphenomenal?

How abstract can you get?

• From Scheer (2016):

a. -s

kap-sz 'you get'
dob-sz 'you throw'
lök-sz 'you push'
vág-sz 'you cut'
nyom-sz 'you press'
lő-sz 'you shoot'
ró-sz 'you scold'

b. -El
mos-ol 'you wash'
néz-el 'you look'
tesz-el 'you put'
ráz-ol 'you shake'
vonz-ol 'you attract'
főz-öl 'you cook'

c. -E-s mond-(a)-sz 'you say' fing-(a)-sz 'you fart' 'you immerse' márt-a-sz 'you hurt' sért-e-sz küld-e-sz 'you send' 'you teach' tanít-(a)-sz műt-e-sz 'you operate' 'you heat' fűt-(e)-sz

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a. lexical identity
O N
|
s 1

b. after regular stems
ONON-ON
OVCSTAN

c. after sibilant-final stems
ONON-ON
ON-ON
SCVS s 1

How abstract can you get

Problems:

- 1) The floating /l/ is lost forever circular?
- 2) A mechanism of optimization seems to be assumed that would rule out the association of /s/.
- 3) Is this really so different from assuming two allomorphs?
 - a. lexical identity N
- O N O N O N
- b. after regular stems c. after sibilant-final stems

When the loser is not problematic

Catalan theme vowel allomorphy (Bonet et al. 2007)

```
a. gɔt 'glass' gɔt-s 'glasses'
b. awt-u 'car' awt-u-s 'cars'
c. mos-u 'lad' mos-u-s 'lads'
d. gos 'dog' gos-u-s 'dogs'
```

- For C-tinal masculines, there are two allomorphs:
 ø and /u/
- /u/ surfaces only to prevent a sibilant sequence.
 But why? What's so wrong with *[gotus]?

When the loser is not problematic

Catalan theme vowel allomorphy (Bonet et al. 2007)

```
a. got 'glass' got-s 'glasses'
b. awt-u 'car' awt-u-s 'cars'
c. mos-u 'lad' mos-u-s 'lads'
d. gos 'dog' gos-u-s 'dogs'
```

Bonet *et al* propose that **allomorphs are ordered**: one allomorph – Ø in this case – is **default**, and will be used unless it raises a problem

When the loser is not problematic

An autosegmental analysis again provides an alternative:

The vowel floats above its position, and will only associate if required to

An example that is often brought up:

Haitian definite article allomorphy (Klein 2003)

liv-la 'book-the' papa-a 'father-the'

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liv-la 'book-the' papa-a 'father-the'

The opposite of what one would expect based on phonology!

An example that is often brought up:

Haitian definite article allomorphy (Klein 2003)

liv-la 'book-the' papa-a 'father-the'

Alternative: in this language, there is an **alignment** force that militates in favor of syllabifying the base and suffix separately. *li.v-a

An example that is often brought up:

Haitian definite article allomorphy (Klein 2003)

liv-la 'book-the' papa-a 'father-the'

Alternative: in this language, there is an alignment force **Problem no 1**: [papa.la] is still better than [papa.a]. **Solution**: default status to /a/. One will use /la/ only if /a/ is not good.

An example that is often brought up:

Haitian definite article allomorphy (Klein 2003)

liv-la 'book-the' papa-a 'father-the'

This is a baaaad soltion. It only means that we push the part of the problem that bothers us to the realm of the arbitrary. One must ask **why** [a] has default status...

Solution: default status to /a/. One will use /la/ only if /a/ is not good.

An example that is often brought up:

Haitian definite article allomorphy (Klein 2003)

liv-la 'book-the' papa-a 'father-the'

Problem no 2: the allomorphe for [$\int \epsilon k$] 'cheque' is also [la]: [$\int \epsilon k$ -la]. But then the usual syllabification of [$\int \epsilon k$] is [$\int \epsilon k$], which violates alignment...

Solution: To say that despite this, the syllabification in [[sk.la]. Rrequires proof.

Many other such examples can be solved by the notion of alignment.

However, if one accepts them, then phonology

- does 1) well-formedness
 - 2) allomorph selection
 - 3) priority-sensitivity
 - 4) syllabification is variable

Many other such examples can be solved by the notion of alignment.

However, if one accepts the circle approach does

1) well-form blindss

2) allogon the selection €n phonology

fy-sensitivity

syllabification is variable

There are nevertheless many cases that cannot be accounted for even assuming phonology does all that:

Modern Hebrew

	base]#	base-V	
a.	tsav	tsab- im	'turtle-turtles'
	daf	dap- im	'sheet-sheets'
	ках	каk-ut	'soft-softness'
b.	luaχ	luχ-ot	'board-boards'
C.	kaχ o l	kχ u l-im	'blue (sg-pl)'

There are nevertheless many cases that cannot be accounted for even assuming phonology does all that:

```
French (regular plurals)
```

base]# base-V

form-sl form-al-ite

rebert-mar rebert-jri-e

Palestinian Arabic

3pl 1pl

?aːl-u ?ul-na 'say'

(cf. katab-u katab-na 'write')

Phon-con allomorphy is not epiphenomenal

Indeed, it seems that in such cases one *must* admit that allomorph-selection can be sensitive to phonology without there being any optimization in it.

Phon-con allomorphy is not epiphenomenal

Indeed, it seems that in such cases one *must* admit that allomorph-selection can be sensitive to phonology without there being any optimization in it.

And of course, there are many cases of **non-**phonologically-conditioned allomorphy that are not epiphenomenal...

Summary

Any approach must acknowledge non-optimizing phon-con allomorphy.

Summary

We've seen two approaches to *optimizing* phoncon allomorphy

- 1_ two lexical allomorphs, phonology selects the better allomorph
- 2_ A single representation optimizing phon-con allomorphy is epiphenomenal.

Summary

The latter approach cannot accommodate lexical allomorph selection in the phonology.

What can support or refute this approach?

In the next class

We will further examine the locus of phon-con allomorph selection in the grammar.

In the next class

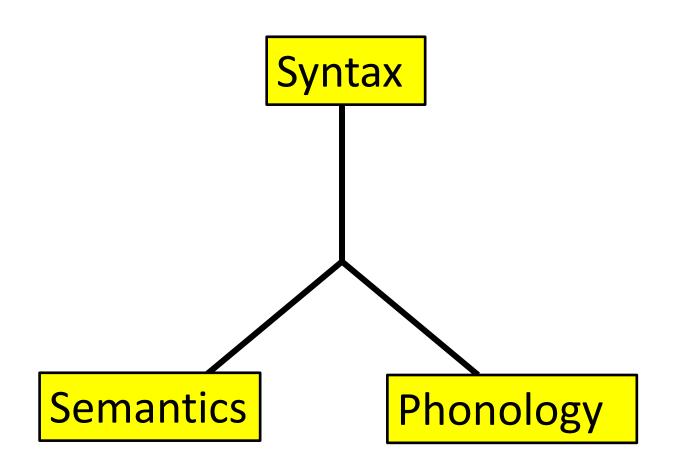
We will further examine the locus of phon-con allomorph selection in the grammar;

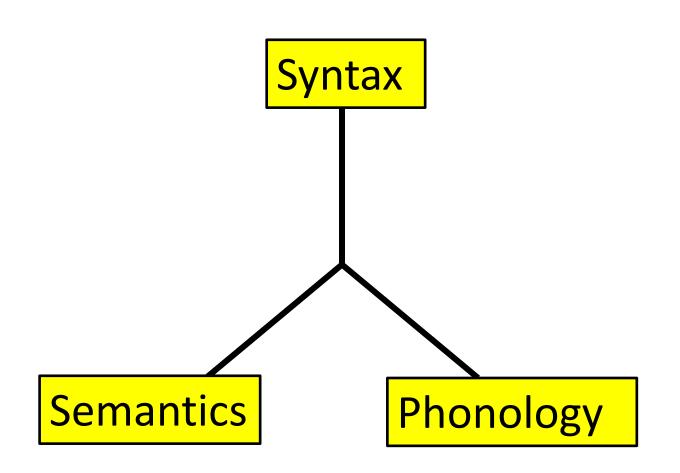
And we will look at a case study from Surmarian (Romantsch), which is arguably problematic for an approach that denies phonological allomorph selection.

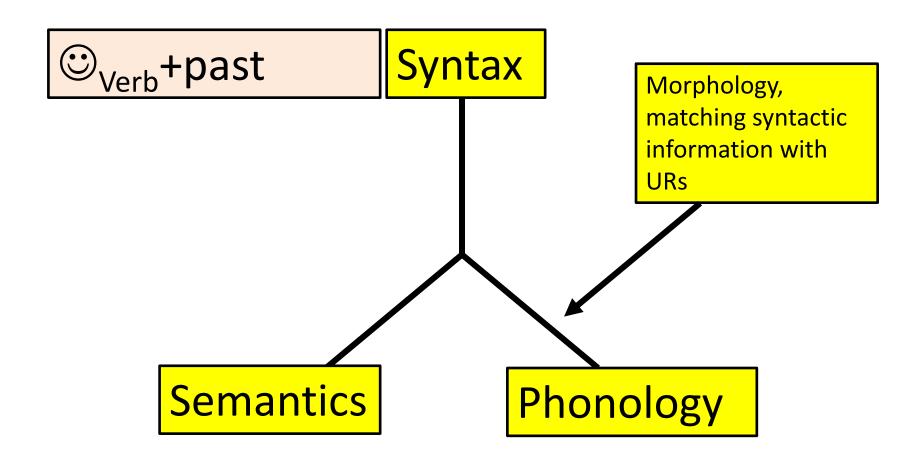
Allomorphy

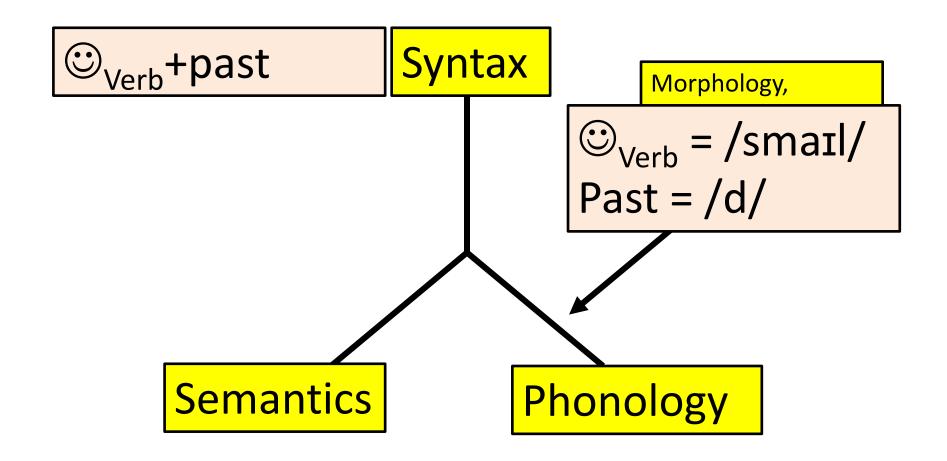
an introduction to the phonologymorphology interface

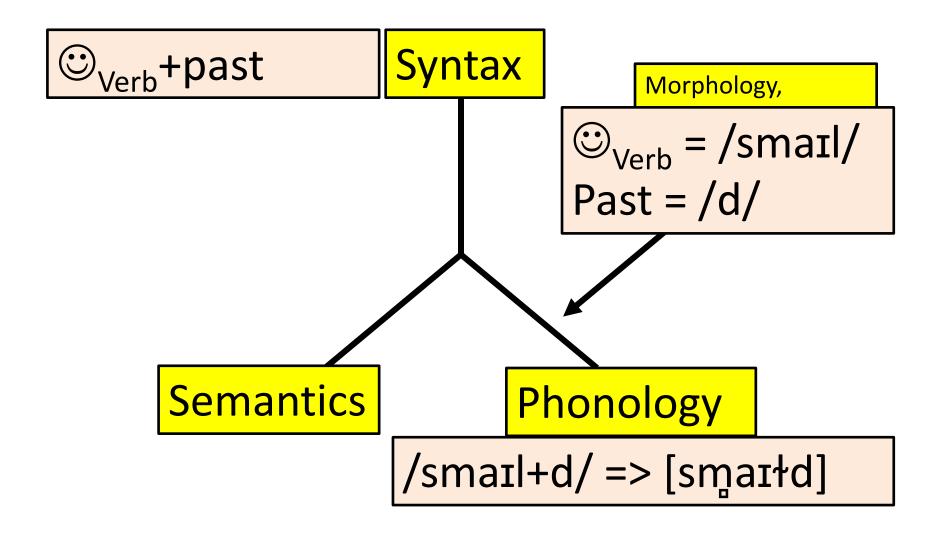
3rd Class: the architecture of grammar

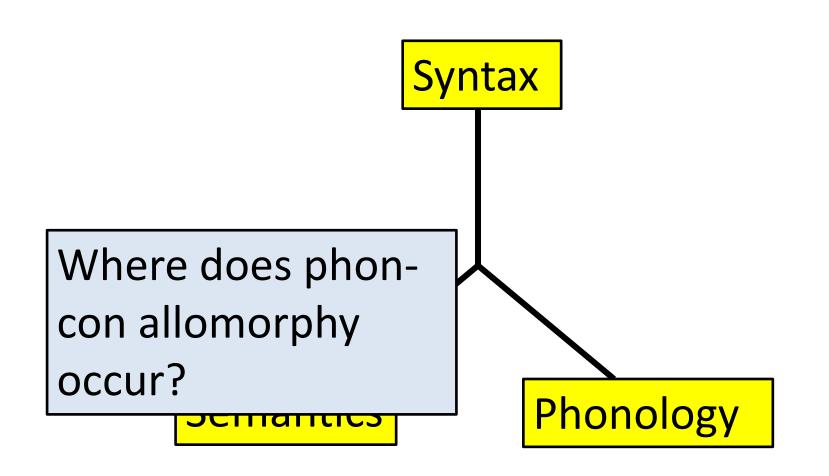










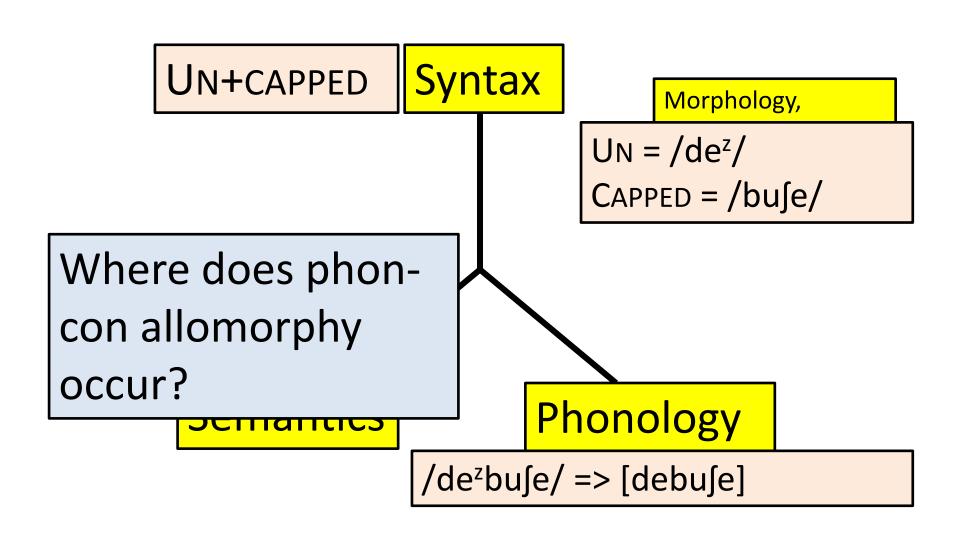


Reminder

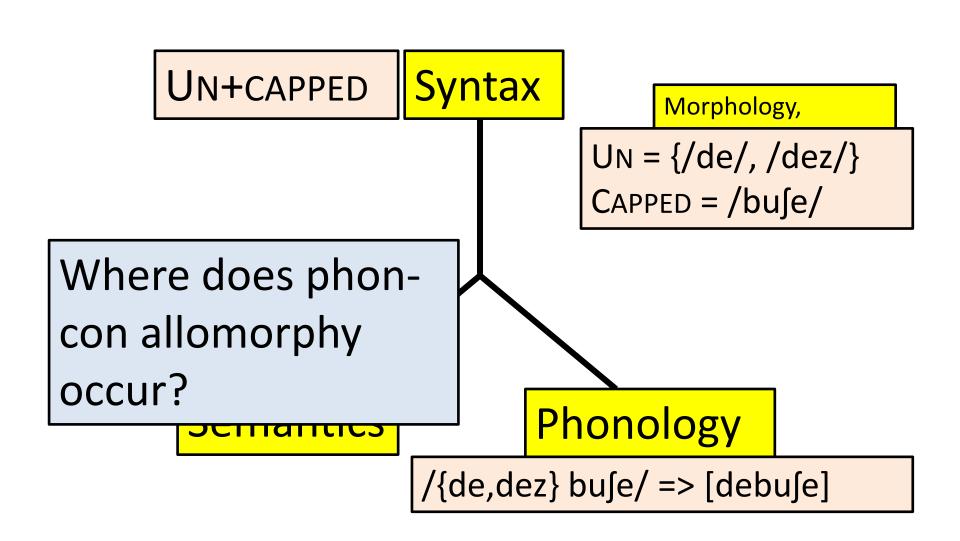
Recall the simple case of allomorphy from French

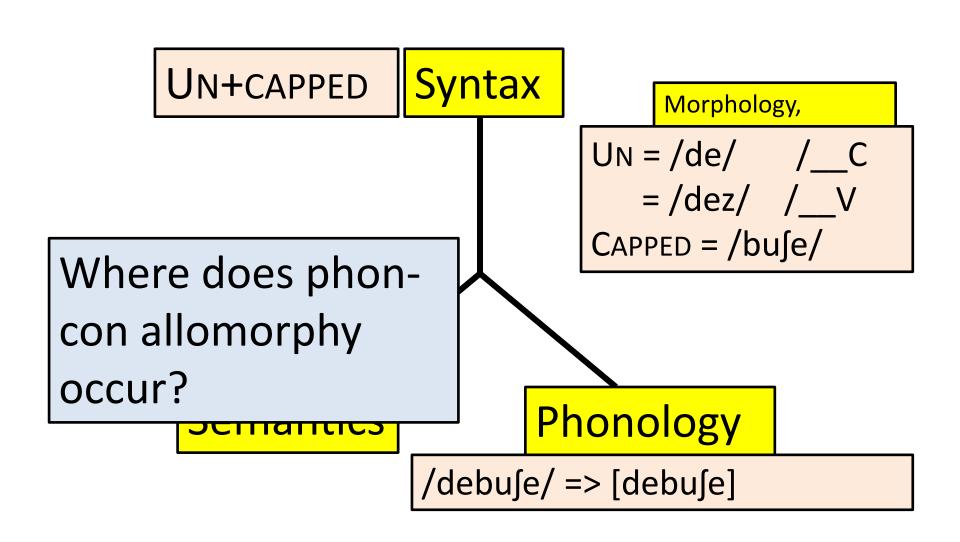
[de-buʃe] but [dez-okype]
'uncappped' 'freed'

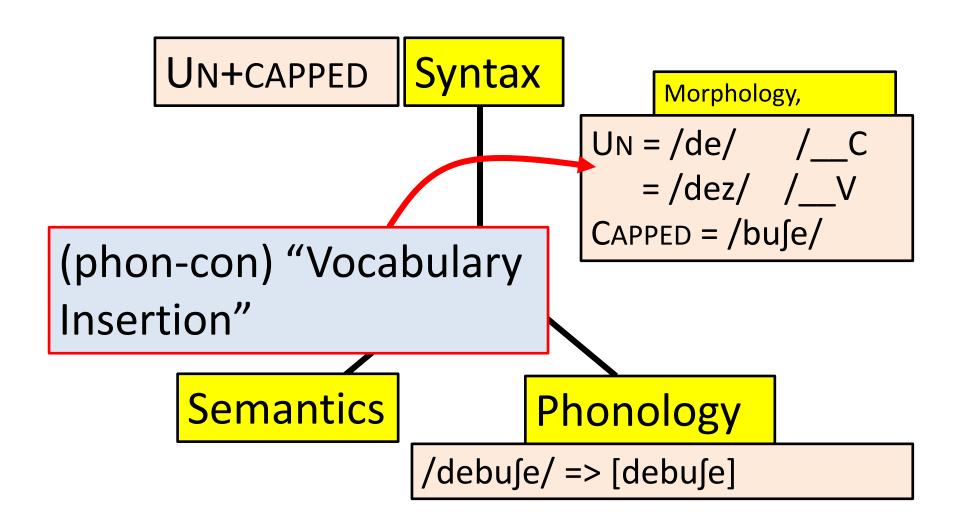
No allomorph selection in this case!

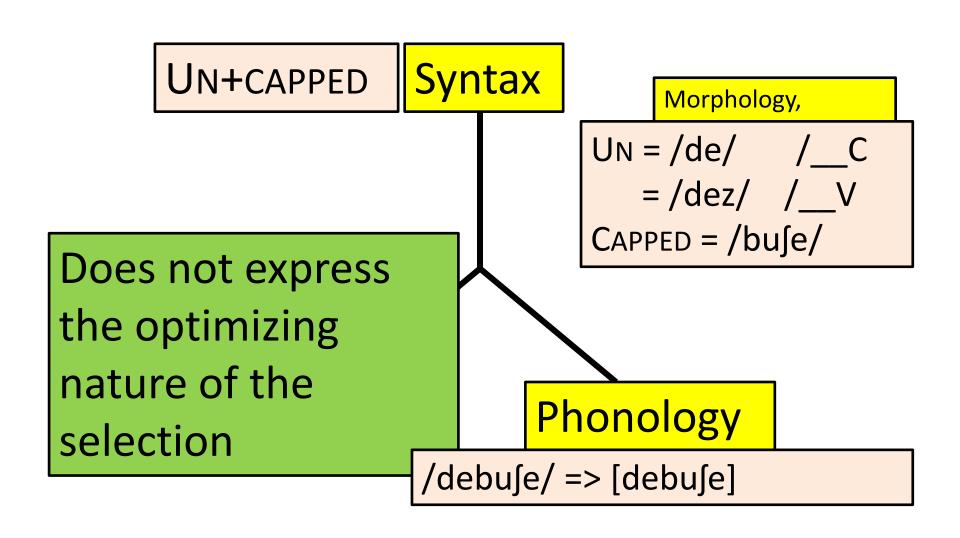


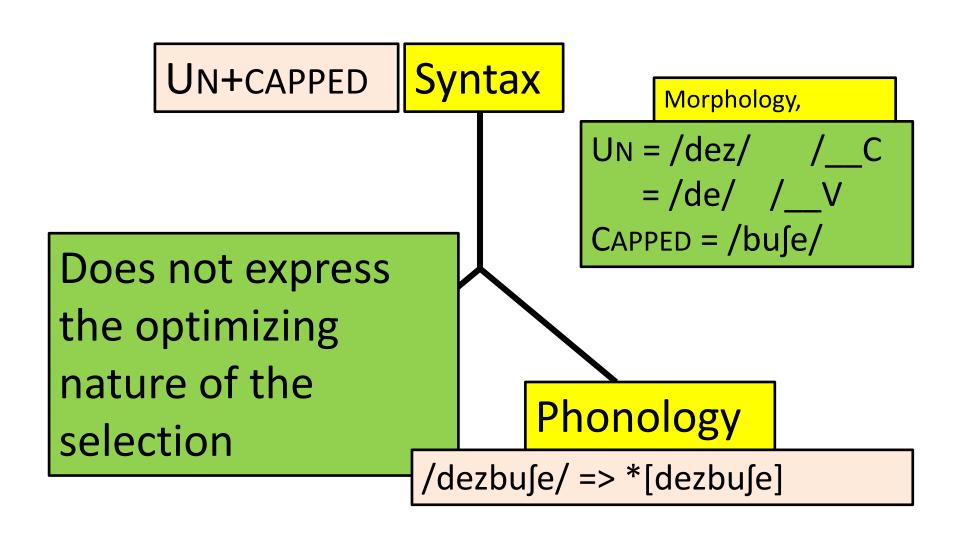
In the phonology?



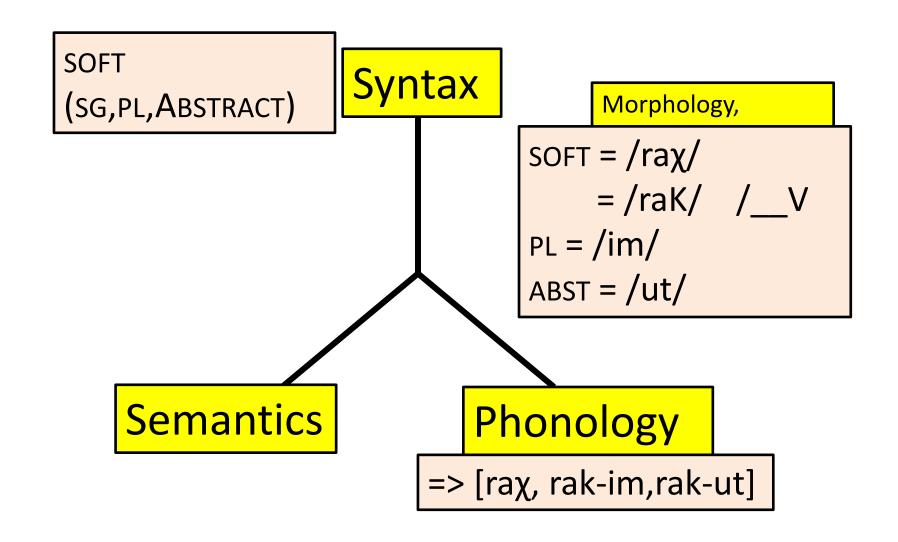


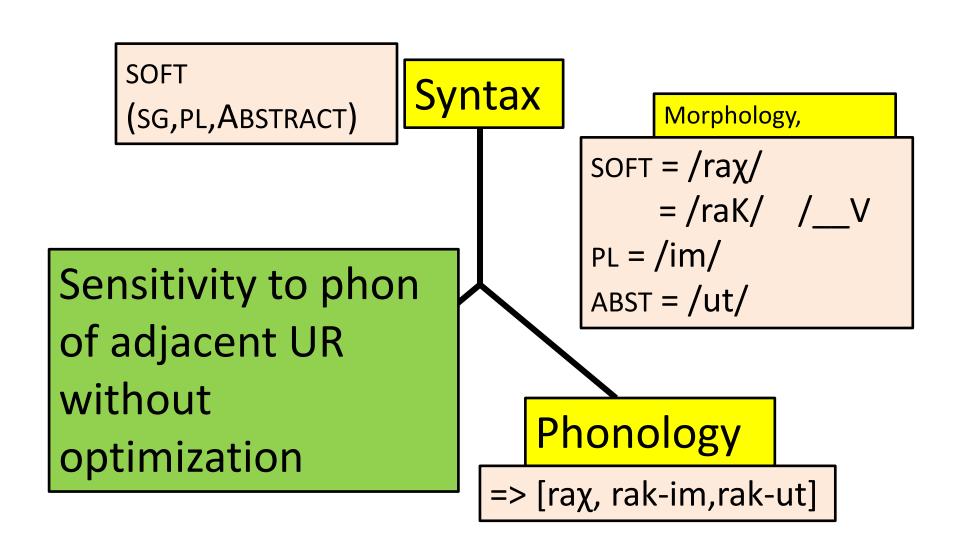






 Proponents of this view recruit suposedly nonoptimizing cases, e.g. Modern Hebrew /raχ, rak-im, rak-ut/ 'soft (sg,pl), softness'





An argument from **economy** (again): given that

- in some cases, phon-con allomorphy is not allomorphy,
 and
- in other cases , phon-con is not optimizing

and

 If we want phon-con selection to be done in the phonology we derive an undesirably strong phonology, as opposed to a blind filter,

Then why not spare us all the trouble and simply assume that all real phon-con allomorphy is simply phon-con vocabulary insertion.

In other words, the fact that some processes appear to be optimizing does not mean that the purported optimization is really a synchronic process and forms part of the grammar.

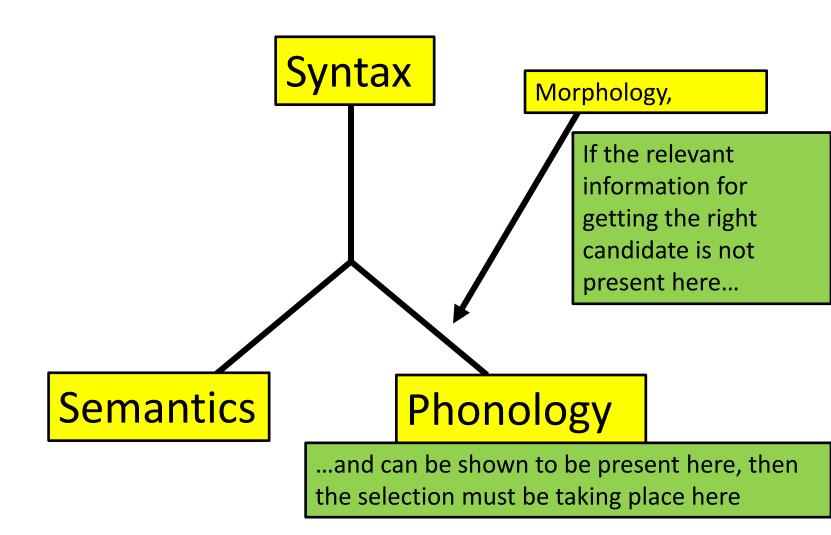
In other words, the fact that some processes appear to be optimizing does not mean that the purported optimization is really a synchronic process and forms part of the grammar.

Recall we are asking what the speaker *knows*, not what s/he *needs to* know or what it would be neat if they s/he knew.

Given the inverted Y architecture, any approach that denies allomorph selection in the phonology would be falsified if

Information that is clearly **not** present at the stage of vocabulary insertion is shown to be the condition in a case of uncontroversial allomorph selection.

The Inverted Y architecture



A Case Study: Surmiran (Anderson 2008)

```
(ia) cant
                       [kant]
ISG
     (te) cantas
                      [ˈkantəs]
2sg
     (el) canta
                       [ˈkantə]
3sg
     (nous) cantagn
                       [kənˈtan]
ıpl
2pl
     (vous) cantez
                    [kənˈtɛts]
     (els) cantan
                       [ˈkantən]
3pl
```

```
(ia) cant
                        [kant]
ISG
     (te) cantas
                        [ˈkantəs]
2sg
     (el) canta
                        [ˈkantə]
3sg
      (nous) cantagn
                        [kənˈtan]
ıpl
2pl
     (vous) cantez
                     [kənˈtɛts]
     (els) cantan
                        [ˈkantən]
3pl
```

Two realizations: stressed [kánt] unstressed [kənt]

	'praise'	'sleep'	'get up'	'finish'
	[lód], [lʊd]	[dór], [dʊr]	[lέν], [ləv]	[fɛ́t(t)], [fɪt(t)]
1sg	lód	dór	lέv	fét
2sg	lódəs	dórəs	Ιένəs	féttəs
3sg	lóda	dórə	Ιένə	féttə
1pl	lʊdáɲ	dʊráɲ	ləván	fɪttáɲ
2pl	lʊdɛ́ts	dʊréts	ləvéts	fɪttéts
3pl	lódən	dórən	lένən	féttən

	'praise' [lód], [lʊd]	'sleep' [dór], [dʊr]	ʻget up' [lέν], [ləv]	'finish' [fɛ́t(t)], [fɪt(t)]
1sg	lód	dór	Ιέν	fét
2sg	lódəs	dórəs	lένəs	féttəs
3sg	lóda	dórə	lένə	féttə
1pl	lʊdáɲ	dʊráɲ	ləván	fɪttáɲ
2pl	lʊdɛ́ts	dʊréʦ	ləvéts	fɪttéts
2.5				

Anderson shows that the choice of the stem is not based on morphological information, but depends only on stress

Stress is completely regular in this language:

it falls on the penult if the rhyme of the final syllable consists of [ə], possibly followed by [r], [l], [n] or [s]: [kántən], [kántə]

And on the final vowel if it is not [ə], or if it is [ə] followed by some other consonant: [kəntɛ́ts]

Stress is completely regular in this language:

Therefore, stress must be an output of the phonological computation: it is *not* in the UR that is fed to the phonology.

Vowels to be found in stressed syllables:

[i,u,a,o,ɔ,e,ε]+diphthongs

Vowels to be found in **un**stressed syllables:

 $[I, \mho, \eth] + (rarely)[\varepsilon, \Im]$

It is therefore tempting to analyse all of the alternations as underlyingly the same. For instance:

```
UR /kant-a/ /kant-εts/
Stress assignment /kánta/ /kantέts/
Reduction [kánta] [kantέts]
```

It is therefore tempting to analyse all of the alternations as underlyingly the same. For instance:

```
UR /kant-a/ /kant-εts/
Stress assignment /kánta/ /kantέts/
Reduction [kántə] [kəntέts]
```

If this is true, then there is no allomorphy at all.

It is pretty sure, on the basis of comparative studies, that this is certainly the historical reason for the reduction.

How-?ever,

Anderson shows convincingly that this cannot be a synchronic analysis:

It is impossible to predict the unstressed vowel from the stressed one, or vice-versa:

Alternation	Infinitive	3sg Pres. Indic.	gloss
[ŭ]/[a]	v[u]rdar	v <u>a</u> rda	'watch'
[c]\[ŭ]	d[u]rmeir	d <u>o</u> rma	'sleep'
[ŭ]/[o]	cr[u]dar	cr <u>o</u> da	'fall'
[ŭ]/[o:]	p[u]ssar	p <u>ô</u> ssa	'rest'
$[\check{\mathbf{v}}]/[o\check{\mathbf{i}}]$	l[u]ier	l <u>oi</u> a	'arrange'

It is impossible to predict the unstressed vowel from the stressed one, or vice-versa:

Alternation	Infinitive	3sg Pres. Indic	gloss
[ĭ]/[ˈa]	(sa) tgil[1]ttar	tgil <u>a</u> tta	'sit down (scornfully,
			as of a cat)'
[ĭ]/[ˈai̯]	spisg[1]ntar	spisgi <u>ai</u> nta	'feed'
[ĭ]/[ˈɛ]	p[1]glier	p <u>e</u> glia	'take'
[ĭ]/[ˈe]	f[1]mar	f <u>e</u> ma	'smoke'
[ĭ]/[ˈei̯]	anv[1]dar	anv <u>ei</u> da	'invite'
[ĭ]/[ˈi]	tg[ɪ]rar	tg <u>i</u> ra	'guard'

Alternation	Infinitive	3sg Pres. Indic.	gloss
[ŏ]/[ˈa]	l[ə]var	l <u>a</u> va	'wash'
[ĕ]/[ˈai̯]	[ə]ntrar	<u>ai</u> ntra	'enter'
[š]/[ˈɛ]	t[ə]dlar	t <u>e</u> dla	'listen'
[ĕ]/[ˈe]	l[ə]var	l <u>e</u> va	'get up'
[j́3']\[ĕ]	p[ə]sar	p <u>ei</u> sa	'weigh'
[ĕ]/[ˈei̯]	antsch[ə]dar	antsch <u>ei</u> da	'start yeast'
[i']/[ĕ]	surv[ə]gneir	surv <u>i</u> gna	'receive'
[ŏ]/[ˈo]	cl[ə]mar	cl <u>o</u> ma	'call'

If so, for every verbal stem in Surmiran, the speaker must retain two stems.

- 1) the unstressed version
- 2) the stressed version

But stress is decided in the phonology...

If so, for every verbal stem in Surmiran, the speaker must retain two stems.

- 1) the unstressed version
- 2) the stressed version

But stress is decided in the phonology...

In consequence, **both stems** must be accessible to the phonological computation. The decision of which stem to take **cannot precede** the phonological computation

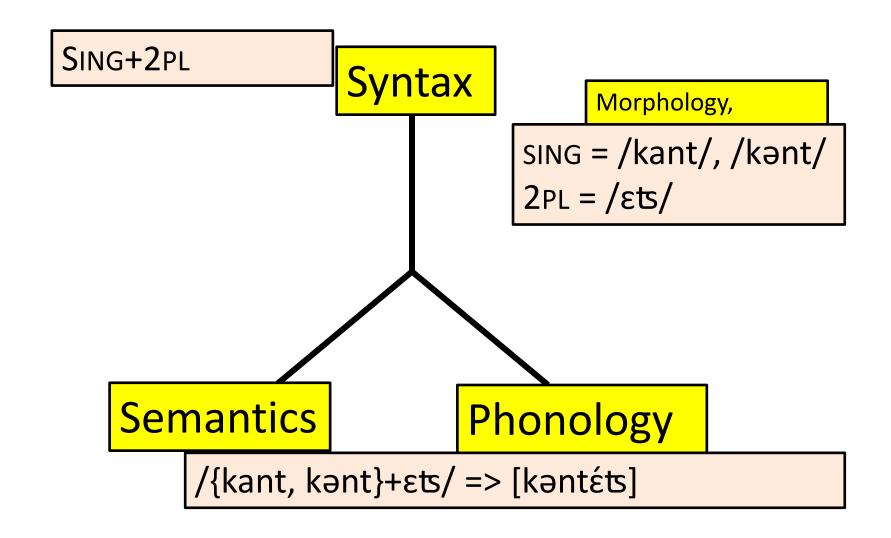
```
*\acute{\mathsf{V}}_{\mathsf{[lax]}}:
```

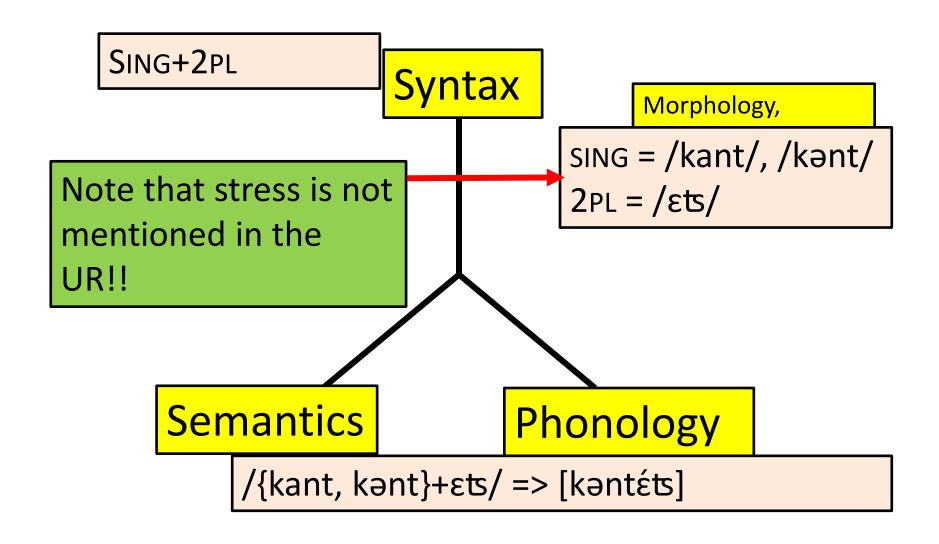
Do not stress [1,0,0]

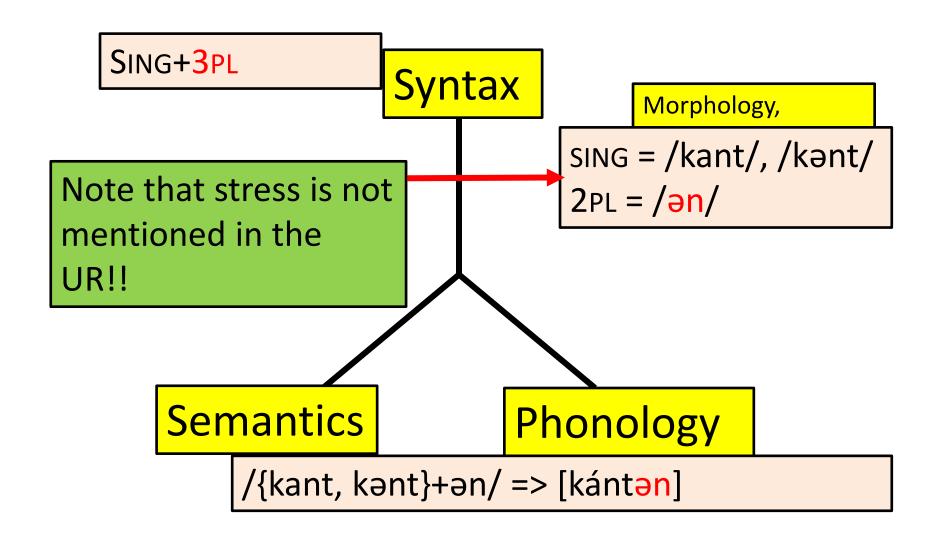
 $*V_{[-lax]}$:

Punish non-lax vowels

Anderson's analysis in our architecture





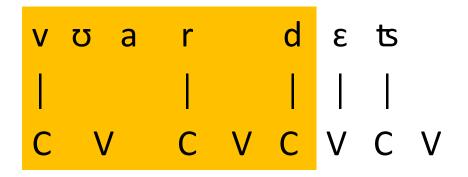


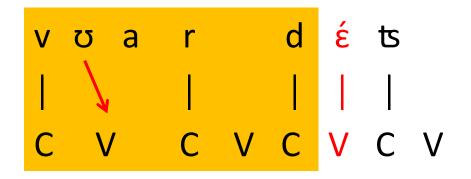
a.

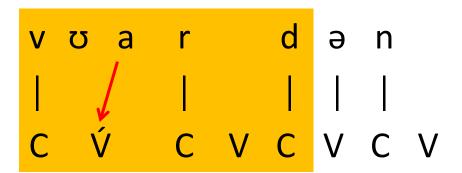
/{vurd,vard}-ar/	Stress	*'u,'ı,'ə	*ă,ĭ,ŭ
'vurdăr	!*	*	*
'vardăr	!*		*
∞ vŭr'dar			
văr'dar			!*

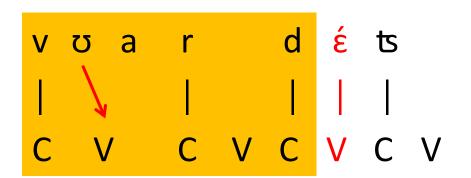
b.

/{vurd,vard}-ə/	Stress	*'u,'ı,'ə	*ă,ĭ,ŭ
'vurdŏ		!*	
'vardŏ			
vŭr'də	!*	*	
văr'də	!*	*	*

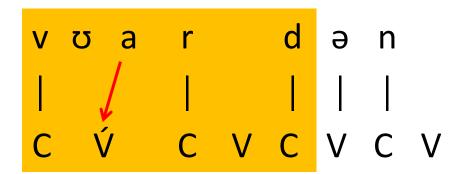


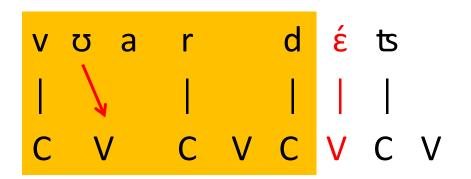


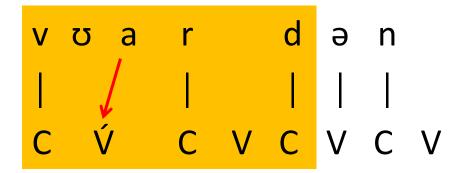




Every verb in Surmiran would have to have such an indeterminate representation.







Whether one is content with this solution or not, it too curcially involves the selection of the better vowel among the two in the phonology.

Summary

If all phon-con allomorphy precedes phonology, it is predicted that purely phonological processes will not be able to interact with it.

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This view is falsified by the Surmiran case.

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If all phon-con allomorphy precedes phonology, it is predicted that purely phonological processes will not be able to interact with it.

This view is falsified by the Surmiran case.

Unless one accepts massive floating, there must be phon-con allomorph selection in the phonology.

Summary

In other words, it must be possible for the morphology to provide more than one UR, "leaving the choice" for the phonology.

A recurrent feature in the study of allomorphy is its limits.

Scheer (2016) makes a generalization that is quite remarkable in this respect, namely that

Pure melody (segments, features) cannot be the trigger of allomorph-selection (or of any syntactic operation)

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Scheer claims that all of the cases that we saw of this are amenable to an analysis with floaters and one UR.

Pure melody (segments, features) cannot be the trigger of allomorph-selection (or of any syntactic operation)

Ok, but why?

Pure melody (segments, features) cannot be the trigger of allomorph-selection (or of any syntactic operation)

Ok, but why? Modularity

"...items that are processed by a given module cannot be read, parsed or understood by another module."

Modularity

"...items that are processed by a given module cannot be read, parsed or understood by another module."

Phonology processes segments and features.

Therefore Morphology can't understand these.

But nothing prevent morphology from understanding the structures **created** by phonology, or simply present in the representation, such as

Skeletal C/V distinction,

Syllabic structure,

Sonority (e.g. a<i,u)

But nothing prevent morphology from understanding the structures **created** by phonology, or simply present in the representation, such as

Skeletal C/V distinction,

Syllabic structure,

Sonority (e.g. a<i,u)

Although how this happens is not very clear in Scheer's account, which concentrates on apparent counter-examples to his first generalization

Pure melody (segments, features) cannot be the trigger of allomorph-selection (or of any syntactic operation)

=> a problem for OT accounts of allomorphy, because the entire phonology in principle interacts with allomorph selection (these account are **non-modular** wrt phonology and morphology)

Allomorphy

an introduction to the phonologymorphology interface

Today we leave

the question of optimization phonologically conditioned allomorphy

And move to

grammatically conditioned allomorphy the notion of **suppletion**

Consider the following cases from English past tense.

```
[pleɪ] [pleɪd]
[kiːp] [kɛpt]
```

[rɪŋ] [ræŋ]

[tiːt] [tɔːt]

[goʊ] [went]

Consider the following cases from English past tense.

[pleɪ]	[pleɪ d]	suffixation
[kiːp]	[kɛpt]	V-change, suffixation
[r ɪ ŋ]	[ræŋ]	V-change, no suffixation
[tiː ʧ]	[tz ː t]	Partial stem change
[goʊ]	[went]	Whole stem change

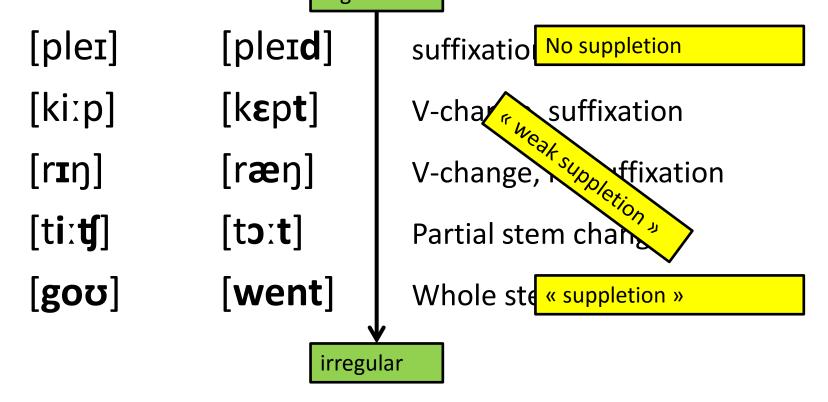
Consider the following cases from English past tense.

regular

[ple1] [pleɪ**d**] suffixation [kiːp] [kept] V-change, suffixation [r**i**ŋ] [ræŋ] V-change, no suffixation [tiː**ʧ**] [tɔːt] Partial stem change [goʊ] [went] Whole stem change irregular

Consider the following cases from English past tense.

regular



Consider the following cases from English past tense.

[pleɪ] [pleɪd] No special information is necessary

[kiːp] [kɛpt] Retention of specific facts about the past stem is necessary.

[rɪŋ] [ræŋ] [tiːtf] [tɔːt]

[goʊ] [went]

Consider the following cases from English past tense.

[pleɪ] [pleɪd]
[kiːp] [kɛpt]
[rɪŋ] [ræŋ]
[tiːʧ] [tɔːt]
[goʊ] [went]

No special information is necessary

Retention of specific facts about the past stem is necessary.

Some linguists claim that all of these cases are grammatically identical:

Consider the following cases from English past tense.

[pleɪ] [pleɪ**d**]

No special information is necessary

[kiːp] [kɛpt]

[rin] [ræn]

[tiːʧ] [tɔːt]

[goʊ] [wɛnt]

Retention of specific facts about the past stem is necessary.

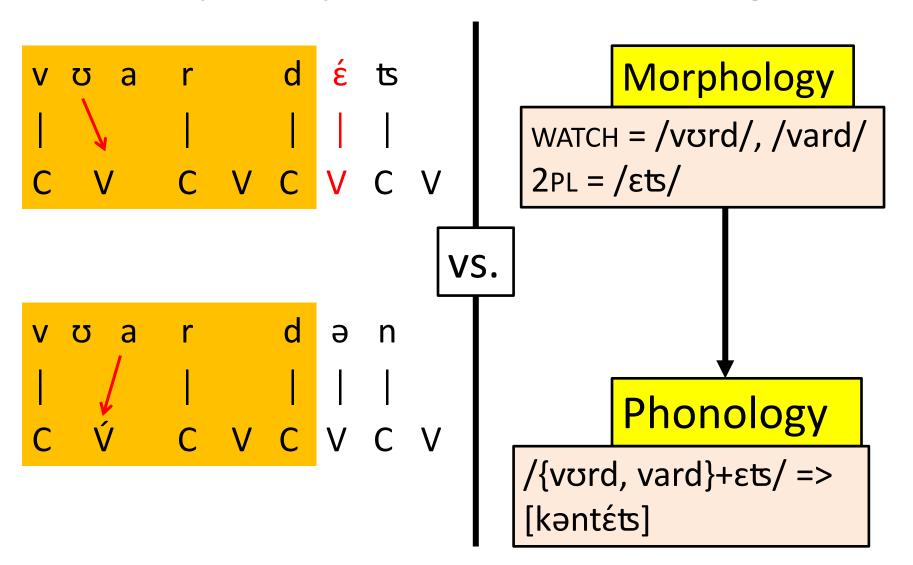
Some linguists claim that all of these cases are grammatically identical:

Weak suppletion

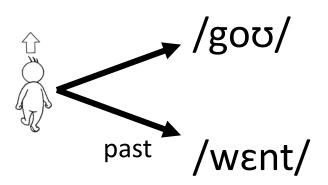
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Strong suppletion

Reminiscent of that, but with a morpho-syntactic conditioning



Harley (2014) takes suppletion to stand for the situation in which the same "root" has two phonological forms associated to it:



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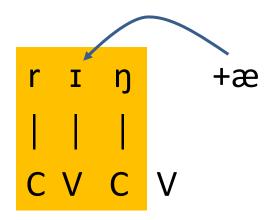
The equation with weak suppletion gives:

Pre-theoretically, this misses the point that in both [pleɪ][pleɪd] and [rɪŋ]-[ræŋ] there is only **one change** that is
introduced - other than that the stems are identical. This
is very different from [goʊ]-[wɛnt].

It can even be formalized:

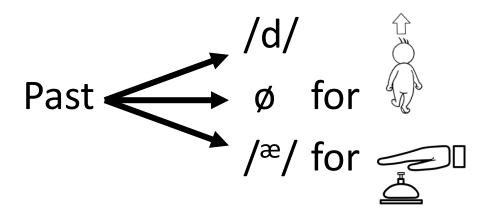
Past = floating $/\infty$ / for a list of verbal bases

A process of overwriting will replace the base /I/by/æ/.



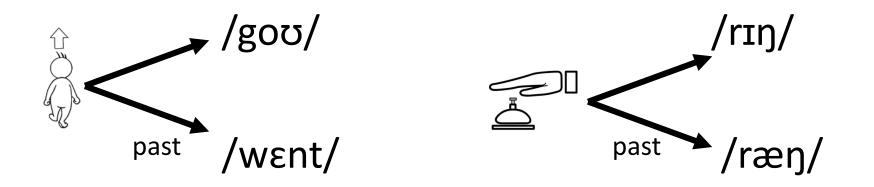
No suppletion in weak suppletion

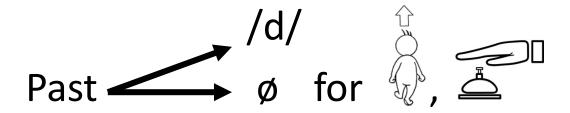




As opposed to...

Weak suppletion = strong suppletion





Both views miss the two following points

1) The change in the stem *implies* no /-d/

2) Stems having /æ/ as past marker have similar present URs: they all have /IN(C)/ in the present.

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1) The change in the stem *implies* no /-d/

Not necessarily: sɛl-soʊld

2) Stems having /æ/ as past marker have similar present URs: they all have /ɪN(C)/ in the present.

Seems to be more important: To reflect what the speaker knows, we should be able to express it.

Both views miss the two following points

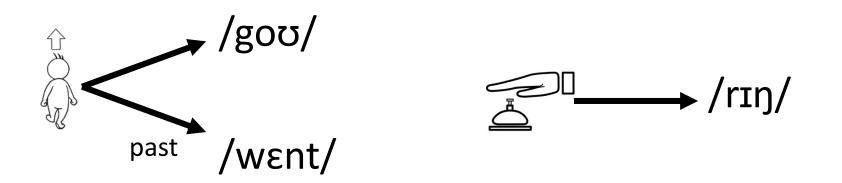
1) The change in the stem *implies* no /-d/

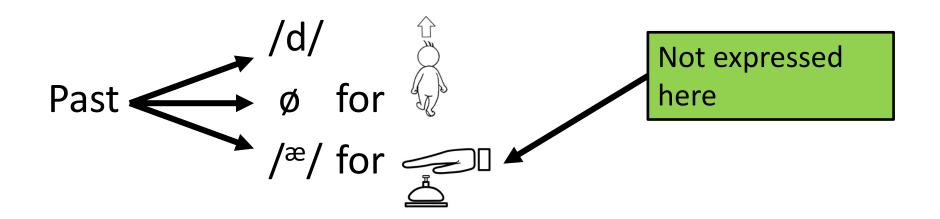
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2) Stems having /æ/ as past marker have similar present URs: they all have /IN(C)/ in the present.

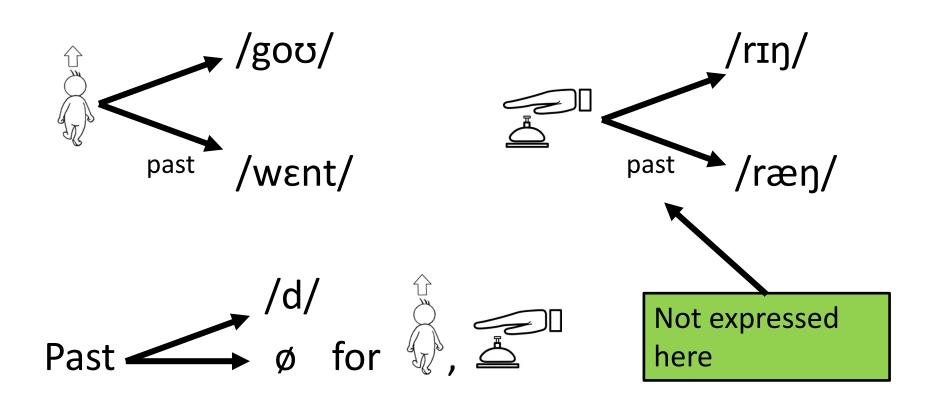
If the form of a root is CIN(C), it is liable to change to /æ/ in the past... (synchronically – this group is not entirely closed)

No suppletion in weak suppletion





Weak suppletion = strong suppletion



- Still, one might claim that
 - 1) the /i/=>/æ/ change is not general, so the forms have to remembered anyway (lexical redundancy)
 - 2) If one adopts "no suppletion" for /rɪŋ/, with /æ/ realizing "past", then this case is irrelevant for the question of weak vs. strong suppletion...

- Still, one might claim that
 - 1) the /i/=>/æ/ change is not general, so the forms have to remembered anyway (lexical redundancy)
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unrelated stems, and two related ones.

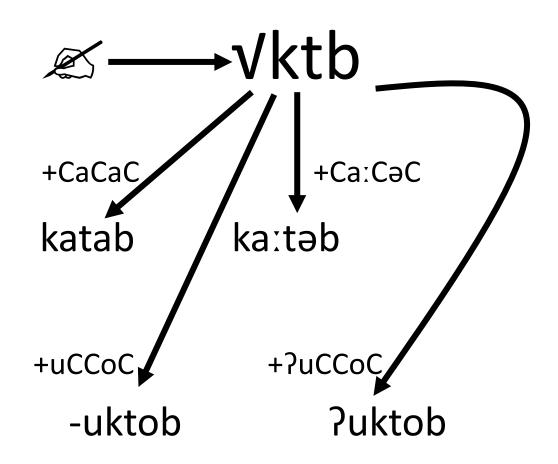
Suppletion in Palestinian Arabic

	a. 'write'	b. 'command'	c. 'walk'	d. 'eat'	e. 'come'
"root"	√ktb	√?mr	√m∫i	√?kl	√?3i
perfective	katab	?amar	mi∫i	?akal	3 a:
participle act	ka:təb	?a:mər	ma:ʃi	?a:kəl	ma:ʒi
imperfective	-uktob	-u?mor	-im∫i	-okol	-iʒi
imperative	?uktob	?u?mor	?im∫i	kol	taSa:1

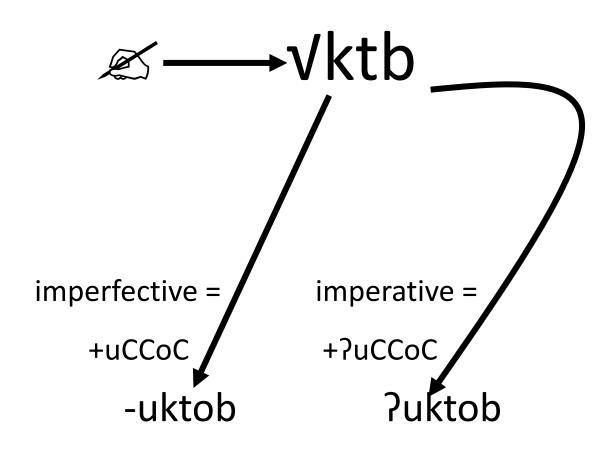
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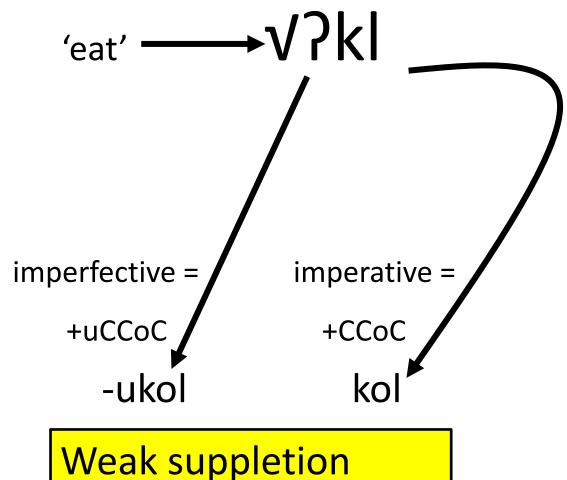
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"root"	√ktb
perfective	katab
participle act	ka:təb
imperfective	-uktob
imperative	?uktob



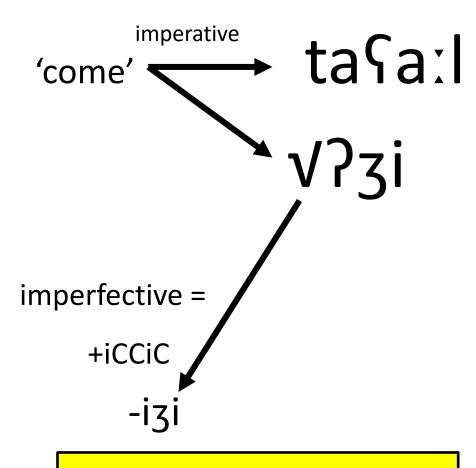
	a. 'write'
"root"	√ktb
perfective	katab
participle act	ka:təb
imperfective	-uktob
imperative	?uktob



	d. 'eat'
"root"	√?k1
perfective	?akal
participle act	?a:kəl
imperfective	-okol
imperative	kol



	e. 'come'
"root"	√?3i
perfective	3 a:
participle act	maːʒi
imperfective	-iʒi
imperative	taSa:1



Strong suppletion

Qaraqosh Neo-Aramaic

		'open'	'put'	+'it'
Infinitive		рθаχа	draja	
Past		ρθιχ-	dri-	
Non-past	3msg	раθәχ	darə	dari-lə
	3fmsg	раθχ-а	darj-a	
	3pl	раθχ-і	dar-e	
	1pl	раθχ-аχ	dar-aχ	

Qaraqosh Neo-Aramaic

		'open'	'put'	+'it'
Infinitive		ρθ а χ а	dr aja	
Past		ρθιχ-	dri-	
Non-past	3msg	p a θ ə χ	d a r ə	dari-lə
	3fmsg	p aθ χ-a	d arj-a	
	3pl	p a θχ -i	d ar-e	
	1pl	р а θχ -аχ	d a r -aχ	

Qaraqosh Neo-Aramaic

		'open'	'put'	+'it'
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	3fmsg	p aθ χ-a	d arj-a	
	3pl	p a θχ -i	d ar-e	
	1pl	р а θχ -аχ	d a r -aχ	

Qaraqosh Neo-Aramaic

'put' +'it' 'open' Infinitive $p\theta$ axa draja driρθιχ-Past darə dari-lə paθəχ Non-past 3msg $pa\theta \chi - a$ 3fmsg darj-a paθ_X-i dar-e 3pl 1pl $pa\theta \chi$ -a χ dar-aχ

Vdri

Qaragosh Neo-Aramaic

'open' 'put' +'it'

Infinitive Past exactly like this one: an underlying /j/ never surfaces in the 1pl nonpast.

3fmsg	p aθ χ-a	d arj-a
3pl	ρ аθχ-ί	dar-e
1pl	р а θχ -аχ	d ar-aχ
	√ρθχ	√drj

Qaragosh Neo-Aramaic

Past for /j/ to sur before /-aχ/

'open' 'put' +'it' Infinitive There is really no synchronic reason for /j/ to surface before /-a/, but not

3fmsg **p**aθ**χ-a** darj-a paθχ-i dar-e 3pl 1pl **p**a**θχ**-aχ dar-ax

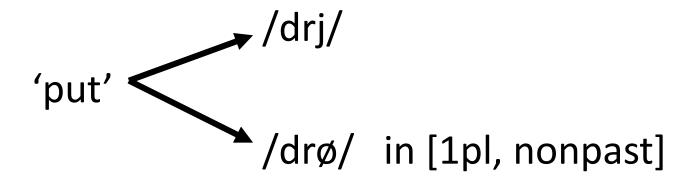
Qaragosh Neo-Aramaic

Past Non-past

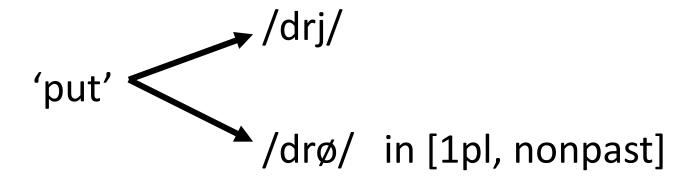
'open' 'put' +'it'

Infinitive The alternation between VCCj and √CCø must be conditioned by the morpho-syntactic features [1pl,-past].

> 3fmsg **p**aθ**χ**-**a** darj-a paθχ-i dar-e 3pl 1pl paθχ-aχ dar-aχ

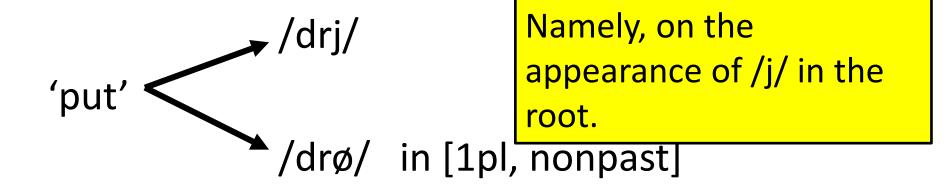


Non-past 3fmsg **p**aθ**χ**-**a d**a**rj**-a **1**pl **p**aθ**χ**-aχ **d**a**r**-aχ



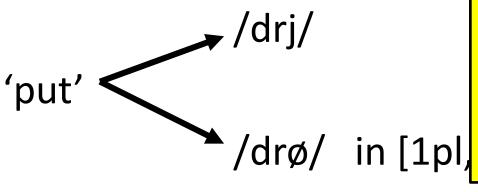
Non-past 3fmsg **p**aθ**χ**-**a d**a**rj**-a **p**aθ**χ**-a**x d**a**r**-a**x**

But what the speaker knows is not about the verb 'put'. It's independent of meaning, and depends on the **phonological identity** of the **root**.



Non-past 3fmsg **p**aθ**χ**-**a d**a**rj**-a **p**aθ**χ**-aχ **d**a**r**-aχ

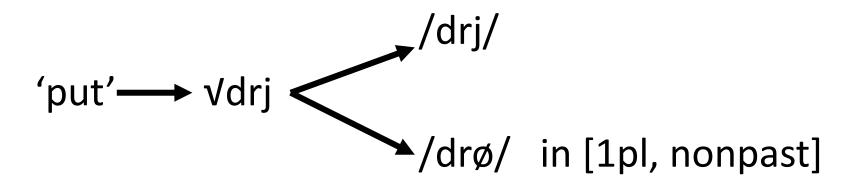
But what the speaker knows is not about the verb 'put'. It's independent of meaning, and depends on the **phonological identity** of the **root**.



But what **is** the root? It is not the underlying representation of any word...

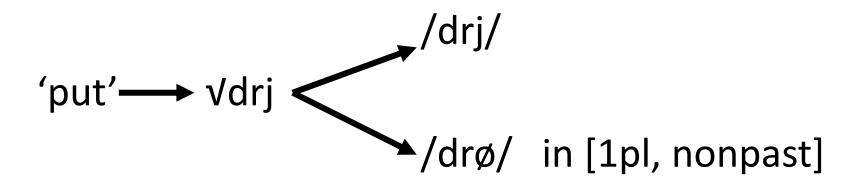
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Non-past 3fmsg **p**aθ**χ**-**a d**a**rj**-a **p**aθ**χ**-a**x d**a**r**-a**x**

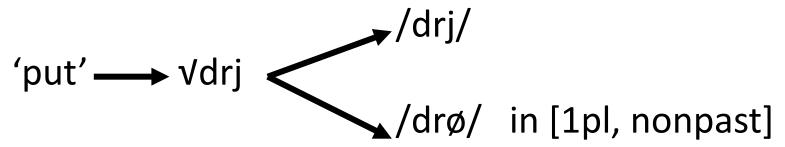
The **phonological index**, mentioned also in the work of Hagit Borer, is "the common denominator of all the occurrences of a given root."



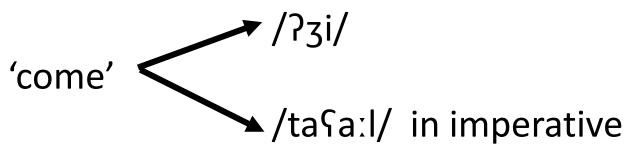
Non-past 3fmsg **p**aθ**χ**-**a d**a**rj**-a **p**aθ**χ**-a**x d**a**r**-a**x**

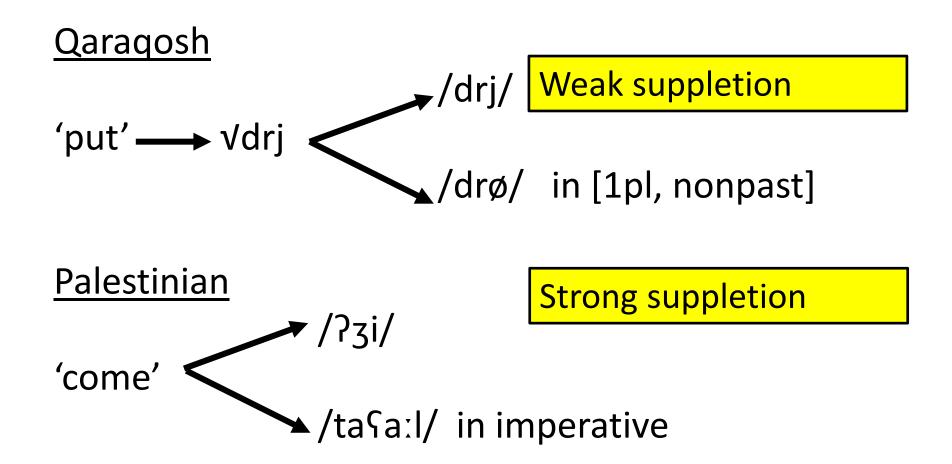
A speaker of Qaraqosh knows that if a phoneme /j/ is the last phoneme in the phonological index, it is elided in the 1pl nonpast.

Qaraqosh

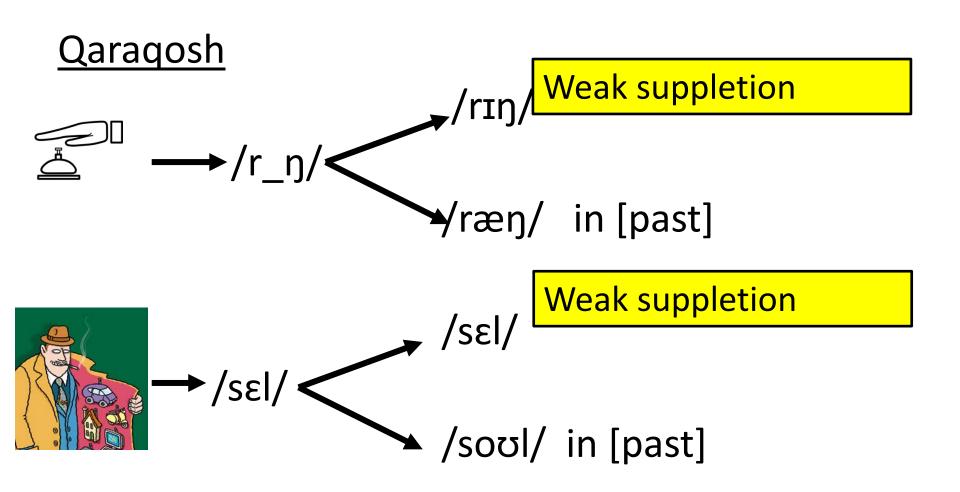


<u>Palestinian</u>

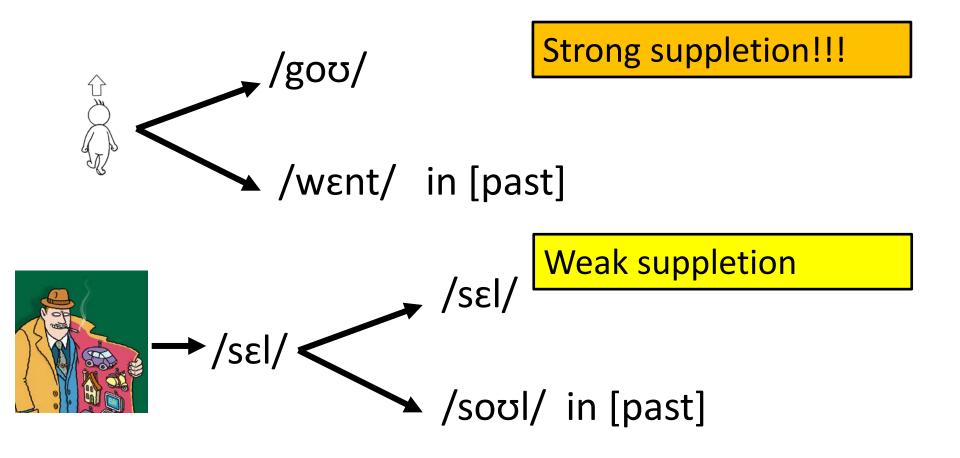




The phonological index: English



The phonological index: English



Summary

- In a theory that recognizes the existence of the phonological index, there is a formal difference between weak and strong suppletion.
 - Weak suppletion: one PI, two URs
 - Strong suppletion: one concept, two PIs

Annex: more proof for the existence of the phonological index

 We've seen that the phonological index is useful in formalizing the distinction between the two types of suppletion.

But can we show it is needed independently?

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But can we show it is needed independently?

 We will now see a case of allomorphy whose trigger must be the PI.

Israeli Hebrew VQTy

	vvrk	vvr?	√fra	√fr y
Action noun	havrak-a	havra-a	hafra-a	hafray-a
3PL	hivrík-u	hivrí-u	hifrí-u	hifr-u
3FMSG	hivrík-a	hivrí-a	hifrí-a	hifr ^e -ta
Past 3MSG	hivrik	hivri	hifría	hifra
	a. 'shine'	b. 'convalesce'	c. 'disturb'	d. 'fertilize'

- \Rightarrow 3fmsg is /-ta/ and not /-a/ in the last group.
- ⇒ The trigger cannot be 1) the vowel-final stem/UR (cf. b,c); 2) some similarity avoidance (c); or specific for 'fertilize' (as in Qaraqosh, all y-final verbs trigger this allomorphy).

Israeli Hebrew VQTy

	vvrk	√vr?	√fra	√fr <mark>y</mark>
Action noun	havrak-a	havra-a	hafra-a	hafray-a
3PL	hivrík-u	hivrí-u	hifrí-u	hifr-u
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Past 3MSG	hivrik	hivri	hifría	hifra
	a. 'shine'	b. 'convalesce'	c. 'disturb'	d. 'fertilize'

UR association rule for the 3fmsg.past

 $[3fmsg],[past] \Leftrightarrow /-a/$

<⇒ /-ta/

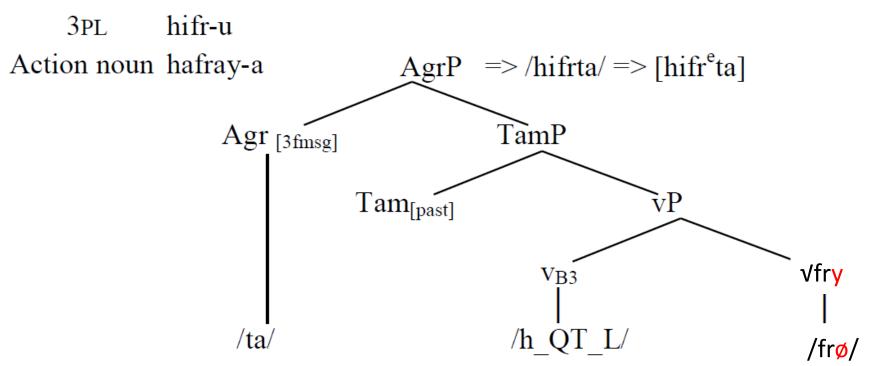
The phonological Index

Israeli Hebrew VQTy

d. 'fertilize'

Past 3MSG hifra

3FMSG hifre-ta



Allomorphy

an introduction to the phonologymorphology interface

5th Class: Allomorphy and Paradigm Uniformity

Paradigm Uniformity: the pressure for all forms of a certain paradigm to resemble one another.

This pressure has been claimed to interact with phonological well-formedness constraints, and so to be active in the phonology of languages.

Paradigm

"all of the forms of the inflection of a certain lexeme"

(Lexeme = our "concept")

(We will loosely define Inflection as "the set of forms that the large majority of items of a given category **autmatically** have")

Paradigm Uniformity: an example

Modern Hebrew

past	pres.part.	futur	
Įib e r	me ∫ a p e ʁ	je ∫ a p e ʁ	'improve'
k i p e l	me k a p el	je k a p el	'fold'
v ite r	me v a t e ʁ	je v ate s	'give up'
<mark>b</mark> ike∫	me v a k e∫	je v ake ∫	'ask for'

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v ike∫	me v ake ʃ	je v ake ∫	'ask for'

Speakers seem to want all occurrences that are inflectionally related to the concept root to be similar enough.

Paradigm Uniformity: an example

Modern Hebrew

This is relevant for a course on allomorphy, because the change seems to militate against having more than one allomorph in a paradigm.

кіреі	те к а р еі	је к а р еі	TOIG
v ite r	me v ate s	je v ate s	'give up'
vike∫	me v ake ∫	je v ake ∫	'ask for'

Speakers seem to want all occurrences that are inflectionally related to the concept root to be similar enough.

```
Yiddish (from Albright 2010)

Sturem 'storm' Sturem-is 'stromy'
```

```
Yiddish
     [tukəm 'storm' [tukm-i] 'stromy'
       \[turm\
                             \[tnrm-i[\
*RM(C)]<sup>sAll</sup>
[km] is not a possible syllable-final cluster
      [[turem]
                              [[turmi[]
```

Yiddish

ſtuĸəm 'storm' ſtuĸm-iſ 'stromy'

Infinitive nem-ən

1sg nem

2sg nem-st

1/3pl nem-ən

3sg/2pl nem-t

∫trukəm-ən

∫tukəm-ən

∫tukəm-t

Yiddish

storm' sturm-i∫ 'stromy'

Infinitive nem-ən

1sg nem

2sg nem-st

1/3pl nem-ən

3sg/2pl nem-t

(trukəm-ən

[tnr9m

∫trukam-st

∫tuʁəm-ən

∫tur9m-t

insertion follows from *[m(C)]

Yiddish

3sg/2pl

fturam , storm, ltnrm-il , stroma,

Infinitive nem-ən
1sg nem
2sg nem-st
1/3pl nem-ən

nem-ən nem-t \tnram-su
\tnram-st
\tnram
\tnr

*[rm(C)]^{syll}
insertion
does not
follow
from
*[rm(C)]_{syll}

The insight: [ə] is inserted everywhere in the paradigm because it has to be inserted somwhere in the paradigm

Infinitive	nem-ən	∫truʁ <mark>ə</mark> m-ən
1sg	nem	∫tuĸ <mark>ə</mark> m
2sg	nem-st	∫truʁ <mark>ə</mark> m-st
1/3pl	nem-ən	∫tuʁ <mark>ə</mark> m-ən
3sg/2pl	nem-t	∫tuʁ <mark>ə</mark> m-t

/ʃtuʁm+t,st,ən,ø/	*RW] ^{sAll}	PU	DEP
a. [ʃtuʁm, ʃtuʁmən]	*!		
🕏 p. [[turəm, [turəmən]			*
c. [ʃtuʁəm, ʃtuʁmən]		*!	

*RW] ^{sAll}	PU	DEP
*!		
		*
		* **
	* 1	
	1 1	
	*i *Rw] ^{sAll}	*i *i *Rw] ^{sAll} bn

For any form that belongs to a paradigm, phonology **must** now "look" at all the other forms in that paradigm in order to produce that word.

*RW] ^{sAll}	PU	DEP
*!		
		*
		* **
	* 1	
	1 1	
	*i *Rw] ^{sAll}	*i *i *Rw] ^{sAll} bn

For any form that belongs to a paradigm, phonology

Or rather, no form belonging to a paradigm is ever computed alone.

What PU means

Admitting PU into the same system that derives phonology

=

A major departure from what phonology is supposed to do. Not only can it now evaluate groups of words, but also many individual words don't even have URs. A word like [stußemen] does not have a UR...

/ʃtuʁm+t,st,ən,ø/	*RW] ^{sAll}	PU	DEP
a. [ʃtuʁm, ʃtuʁmən]	*!		
☞ b. [ʃtuʁəm, ʃtuʁəmən]			*
c. [ʃtuʀəm, ʃtuʁmən]		*!	

Raffesiefen (2016): otherwise exceptionless "phonotactic" processes (e.g. German final devoicing) are *never* affected by PU.

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So what we are stabilizing throught Paradigm uniformity is **not the output**, but the UR that will be the input to the phonological computation.

Indeed, we have assumed that allomorphy – two underlying represnetations – is generally dispreffered. Nobody cares about there being two surface represntations (or phonology is out of work).

Infinitive Strukem-en

1sg ∫tuʁəm

2sg ∫trukəm-st

1/3pl Sturam-an

3sg/2pl Sturam-t

Given these surface forms, we may assume that there is a requirement for all of them to come from a single UR. The UR must have /ə/, otherwise we would not derive [[tukəmən]

Infinitive Struk-m-an

1sg ∫tuʁəm

2sg Strukom-st

1/3pl Sturam-an

3sg/2pl Sturam-t

But in fact the point is to derive [sturemen] from the fact that it appears in the same paradigm as [sturemen].

PU: "Select the underying representation such that all the surface forms in a paradigm are identical."

Given *[[tukm], and the solution [[tukəm]

```
| State | Stat
```

PU: "Select the underying representation such that all the surface forms in a paradigm are identical."

```
Given *[[tukm], and the solution [[tukəm]
```

```
/ʃtuʁəm/ will give [ʃtuʁəm], [ʃtuʁəmən]

/ʃtuʁəm/ will give [ʃtuʁəm], [ʃtuʁəmən]
```

In other words, PU has nothing to say about well-formedness. It optimizes the **lexicon**.

 PU cannot interact with well-formedness, because it does not optimize a specific form.

This derives the correct result: while PU may stand in the way of processes, there is no known case where PU creates an otherwise illicit situation.

Modern Hebrew (Bat El 2008)

sg plural

pakíd pkid-ím 'clerk'

Jaxén Jxen-ím 'neighbor'

Jafán Jfan-ím 'rabbit'

but Japák Japak-ím 'barber'

Bat El: 1) Word=Foot (=2 vowels in MH)

2) PU_{syll.number}

Since /a/-syncope is not general in Hebrew, the option must be lexically-stored

```
sg plural

pakíd pkid-ím 'clerk'

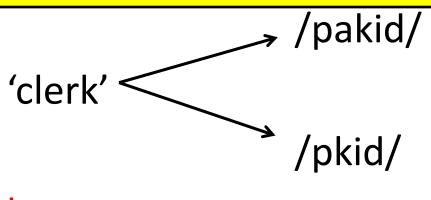
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but ∫apáʁ ∫apaʁ-ím 'barber'
```

Bat El: 1) Word=Foot (=2 vowels in MH)

Since /a/-syncope is not general in Hebrew, the option must be lexically-stored



but

'barber' → /sapar/

/pakid,pkid/+/ø,im/	Max	PU _{syll}	*[_# CC
☞a. [p a kid, pkidim]			*
b. [pkid, pkidim]			*!*
b. [p a kid, p a kidim]		*!	
c. [pkid,p a kidim]		*!	

/sapaʁ/+/ø,im/	Max	PU _{syll}	*[_# CC
a. [s a pak, spakim]	*!		*
p. [sbar' sbarim]	*!*		**
©c. [sapak, sapakim]		*	
d. [spar, saparim]	*!		

General Remark

This is an interesting case: PU, a counterallomorphy force, is aided by allomorphy...

It is a problem for the view I have proposed of PU as a non-phonological unification of the phonemic form: here it is really the outputs that are being uniformized...

General Objection

The first vowel of the alternating base syncopates before any stress-bearing suffix:

pakid 'clerk'

pkid-ut 'clerkhood, place od clerks'

pkid-on 'small clerk'

pkid mas 'tax clerk'

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(Unlike Yiddish [ʃtuʁm-iʃ] vs. [ʃtuʁəm-ən])

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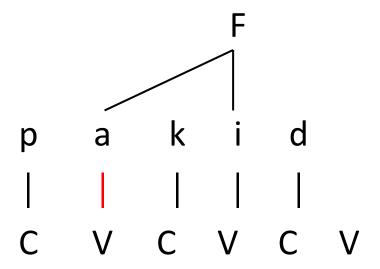
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pkid mas 'tax clerk'

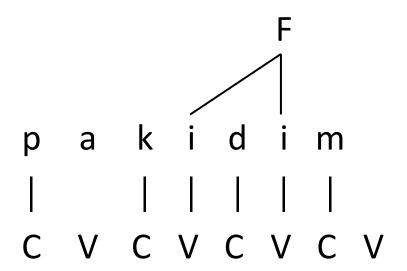
These cannot be viewed as part of the paradigm of the word "clerk", because they are not automatic forms

Does not need any fancy machinery in this case

Vowel retained when in "foot";



Vowel not retained when outside "foot"



Lexically-associated vowel not susceptible to "footing" considerations



Interim Summary

Paradigm Uniformity

- does not optimize surface forms
- uniformizes the UR such that the surface forms are maximally similar.
- is an anti-allomorphy force that works within paradigms.

Other alternatives

The two cases we've discussed at length – Yiddish and Hebrew – there seems to be a **base** and a derivative.

Thus, they can be explained by assuming a twodomain structure, whereby the form of the base is set, and thus the suffix cannot alter it.

Derivational Alternative to PU

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In Yiddish, one first derives

/ʃtuʁm/ => [ʃtuʁəm]

And then one is stuck with the [ə].
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In Modern Hebrew, one first fixes a syllable number in the base: /pakid/ = 2
And then one must attempt to maintain it /pakidim/ => [pkidim] (though why a?)
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Derivational Alternative to PU

 If the base-faithfulness view is available, why would anybody need PU at all?

Derivational Alternative to PU

• If the base-faithfulness view is available, why would anybody need PU at all? Is there **any** proof that paradigms are evaluated as sets?

• This *has* been claimed.

Lebanese Arabic (Haddad & Wiltshire 2014)

He told me	ħikeː- l i
He told you _{ms}	ħikeː-lak
He told you _{fm}	ħikeː-lik
He told him	ħikeː-lo
He told her	ħikeː-la
He told us	ħikeː-Ina
He told you _{pl}	ħikeː- I kun
He told them	ħikeː-lun

Lebanese Arabic (Haddad & Wiltshire 2014)

He told me	ħikeː- l- i
He told you _{ms}	ħikeː- l- ak
He told you _{fm}	ħikeː- l- ik
He told him	ħikeː- l- o
He told her	ħikeː- l- a
He told us	ħikeː- l- na
He told you _{pl}	ħikeː- l- kun
He told them	ħikeː- l- un

Dative=/l/

Lebanese Arabic (Haddad & Wiltshire 2014)

'answer'

He told me	ħikeː- l- i	radda- II -i
He told you _{ms}	ħikeː- l- ak	radda- II -ak
He told you _{fm}	ħikeː- l- ik	radda- II -ik
He told him	ħikeː- l- o	radda- II -o
He told her	ħikeː- l- a	radda- II -a
He told us	ħikeː- l- na	radda- I -na
He told you _{pl}	ħikeː- l- kun	radda- I -kun
He told them	ħikeː- l- un	radda- II -un

Dative=/I/ or /II/?

In Lebanese, stress falls on the rightmost of the last three heavy syllables (= closed or with long vowel)

He told me	ħikeː- l- i	radda- II -i
He told you _{ms}	ħikeː- l- ak	radda- II -ak
He told you _{fm}	ħikeː- l- ik	radda- II -ik
He told him	ħikeː- l- o	radda- II -o
He told her	ħikeː- l- a	radda- II -a
He told us	ħikeː- l- na	radda- l -na
He told you _{pl}	ħikeː- l- kun	radda- I -kun
He told them	ħikeː- l- un	radda- II -un

Dative=/I/ or /II/?

In Lebanese, stress falls on the rightmost of the last three heavy syllables (= closed or with long vowel)

He told me	ħik <mark>éː-l-i</mark>	radda- l -i	radd <mark>a-II-i</mark>
He told you _{ms}	ħik éː-l- ak	radda- l -ak	radd <mark>a-II</mark> -ak
He told you _{fm}	ħik é ː- l- ik	radda- l -ik	radd <mark>a-II</mark> -ik
He told him	ħik é ː- l- o	radda- l -o	radd <mark>a-II</mark> -o
He told her	ħik éː-l- a	r <mark>a</mark> dda- l -a	radd <mark>a-II</mark> -a
He told us	ħik é ː- l- na	radd <mark>a-l</mark> -na	radd <mark>a-l</mark> -na
He told you _{pl}	ħik <mark>éː-l-kun</mark>	radda-I-kun	radd <mark>a-l</mark> -kun
He told them	ħik <mark>éː-l-un</mark>	radda- l -un	radd <mark>a-II-un</mark>

Dative=/I/ or /II/, whichever uniformizes the paradigm for stress! No base!

As a result of a problem raised in the 1/2pl, the entire paradim is changed: real **paradigm** uniformity.

He told me	ħik <mark>éː-l-i</mark>	radda- l -i	radd <mark>a-II</mark> -i
He told you _{ms}	ħik é ː- l- ak	radda- l -ak	radd <mark>a-II</mark> -ak
He told you _{fm}	ħik <mark>éː-l-</mark> ik	radda- l -ik	radd <mark>a-II-ik</mark>
He told him	ħik <mark>éː-l-</mark> o	radda- l -o	radd <mark>a-II</mark> -o
He told her	ħik é ː- l- a	radda- l -a	radd <mark>a-II</mark> -a
He told us	ħik é ː- l- na	radd <mark>a-l</mark> -na	radd <mark>a-l</mark> -na
He told you _{pl}	ħik <mark>éː-l-</mark> kun	radda-I-kun	radd <mark>a-l</mark> -kun
He told them	ħik <mark>éː-l-</mark> un	radda- l -un	radd <mark>a-II-un</mark>

Dative=/I/ or /II/, whichever uniformizes the paradigm for stress! No base!

Lebanese Arabic (Haddad & Wiltshire 2014)

'gave'		he gave+dative	+accusative
ʒib-t	me	ʒab-I−i	zaːb-ni
ʒib-t	you _{ms}	ʒ <mark>a</mark> b- l -ak	z <mark>aː</mark> b-ak
ʒib-ti	you _{fm}	ʒab-l-ik	zaːb-ik
заːb	him	3ab-I−o	zaːb-o
ʒaːb-at	her	ʒ <mark>a</mark> b- l -a	z <mark>aː</mark> b-a
ʒib-na	us	ʒ <mark>a</mark> b- l -na	z <mark>aː</mark> b-na
ʒib-tu	you _{pl}	ʒ <mark>a</mark> b- l -kun	zaːb-kun
ʒaːb-u	them	ʒ <mark>a</mark> b- l -un	z <mark>aː</mark> b-un

Lebanese Arabic (Haddad & Wiltshire 2014)

'gave'		he gave+dative	+accusative
ʒib-t	me	ʒab-I−i	zaːb-ni
ʒib-t	you _{ms}	ʒ <mark>a</mark> b-l-ak	z <mark>aː</mark> b-ak
ʒib-ti	you _{fm}	ʒab-l-ik	zaːb-ik
заːb	him	zab-l-o	zaːb-o
ʒaːb-at	her	ʒ <mark>a</mark> b- l -a	z <mark>aː</mark> b-a
ʒib-na	us	ʒ <mark>a</mark> b-i l -na	z <mark>aː</mark> b-na
ʒib-tu	you _{pl}	ʒ <mark>a</mark> b-i l -kun	zaːb-kun
ʒaːb-u	them	ʒ <mark>a</mark> b- l -un	z <mark>aː</mark> b-un

i is epenthesis, *CCC

Lebanese Arabic (Haddad & Wiltshire 2014)

'gave'		he gave+dative	+accusative
ʒib-t	me	ʒab- l −i	z <mark>aː</mark> b-ni
ʒib-t	you _{ms}	ʒ <mark>a</mark> b- l -ak	zaːb-ak
ʒib-ti	you _{fm}	ʒab-l-ik	zaːb-ik
zaːb	him	zab-l-o	zaːb-o
ʒ <mark>aː</mark> b-at	her	ʒ <mark>a</mark> b- l -a	з <mark>аː</mark> b-а
ʒib-na	us	ʒ <mark>a</mark> b-i l -na	z <mark>aː</mark> b-na
ʒib-tu	you _{pl}	ʒ <mark>a</mark> b-i l -kun	zaːb-kun
ʒaːb-u	them	ʒ <mark>a</mark> b- l -un	ʒ <mark>aː</mark> b-un

'He gave'=/3aib/ or /3ab/?

The configuration C**Ý**:CVCCVC is problematic according to H&W. Vowel must shorten.

'gave'		he gave+dativ	\odot	+accusative
ʒib-t	me	ʒ <mark>a</mark> b- l -i	ʒaːb-l-i	ʒ <mark>aː</mark> b-ni
ʒib-t	you _{ms}	ʒ <mark>a</mark> b- l -ak	ʒaːb-l-ak	ʒ <mark>aː</mark> b-ak
ʒib-ti	you _{fm}	ʒab-l-ik	ʒab-l-ik	ʒaːb-ik
zaːb	him	ʒab-I−o	ʒ <mark>aː</mark> b- l -o	3aːb-o
ʒ <mark>aː</mark> b-at	her	ʒ <mark>a</mark> b- l -a	z <mark>aː</mark> b- l -a	z <mark>aː</mark> b-a
ʒib-na	us	ʒ <mark>a</mark> b-i l -na	ʒ <mark>aː</mark> b-i l -na	ʒ <mark>aː</mark> b-na
ʒib-tu	you _{pl}	ʒ <mark>a</mark> b-i l -kun	z <mark>aː</mark> b-il-ha 😕	z <mark>aː</mark> b-kun
ʒaːb-u	them	ʒ <mark>a</mark> b- l -un	ʒ <mark>aː</mark> b- l -un	z <mark>aː</mark> b-un

As a result of a problem raised in the 1/2pl, the entire paradim is changed: real **paradigm** uniformity.

'gave'		he gave+dativ	\otimes	+accusative
ʒib-t	me	ʒab-l-i	ʒaːb-l-i	ʒaːb-ni
ʒib-t	you _{ms}	ʒ <mark>a</mark> b- l -ak	ʒaːb-l-ak	ʒaːb-ak
ʒib-ti	you _{fm}	ʒab-l-ik	ʒab-l-ik	ʒaːb-ik
zaːb	him	3ab-l-o	zaːb-l-o	3aːb-o
ʒ <mark>aː</mark> b-at	her	ʒ <mark>a</mark> b- l -a	zaːb-l-a	ʒ <mark>aː</mark> b-a
ʒib-na	us	ʒ <mark>a</mark> b-i l -na	ʒ <mark>aː</mark> b-i l -na	ʒ <mark>aː</mark> b-na
ʒib-tu	you _{pl}	ʒ <mark>a</mark> b-i l -kun	ʒ <mark>aː</mark> b-i l -kun [⊗]	ʒ <mark>aː</mark> b-kun
ʒaːb-u	them	ʒ <mark>a</mark> b- l -un	ʒaːb-l-un	ʒ <mark>aː</mark> b-un

The configuration C**Ý**:CVCCVC is problematic according to H&W. Vowel must shorten.

'gave'		he gave+dativ	\otimes	+accusative
ʒib-t	me	ʒ <mark>a</mark> b- l -i	ʒaːb-l-i	ʒaːb-ni
ʒib-t	you _{ms}	ʒ <mark>a</mark> b- l -ak	ʒaːb-l-ak	ʒaːb-ak
ʒib-ti	you _{fm}	ʒab-l-ik	ʒab-l-ik	ʒaːb-ik
заːb	him	zab-l-o	zaːb-l-o	ʒaːb-o
ʒ <mark>aː</mark> b-at	her	ʒ <mark>a</mark> b- l -a	zaːb-l-a	ʒ <mark>aː</mark> b-a
ʒ <mark>i</mark> b-na	us	ʒ <mark>a</mark> b-i l -na	ʒaːb-i l -na	ʒ <mark>aː</mark> b-na
ʒib-tu	you _{pl}	ʒ <mark>a</mark> b-i l -kun	z <mark>aː</mark> b-i l -kun	zaːb-kun
ʒaːb-u	them	ʒ <mark>a</mark> b- l -un	z <mark>aː</mark> b-l-un	ʒaːb-un

(Problem doesn't arise in accusative, no CC-initial suffix.)

Alternatives?

 There might be autosegmental alternatives to this analysis. It is especially unclear what the problem is with CV:CVCCVC which is solved by shortening the vowel...

 The point here has been to illustrate what a PU effect would be that cannot be substituted by a two-step view.

To summarize

Paradigm Uniformity is the force whereby related surface forms become identical in some respect.

I have tried to argue that while PU is real, what is uniformized is not the surface forms really, but the UR. If this is correct, then PU is lexicon optimization, rather than the processing of a UR into a realization.

To summarize

This might be a welcome result, since performance-wise, it is unclear how the processing of one word can really be done while keeping in mind all the forms in the paradigm.

Allomorphy

Summary of the course

Very brief Course summary

 Sometimes, two realizations corresponding to the same linguistic information in different environments cannot immediately be derived from a single representation.

 In such cases, it is necessary to add information in order to describe what the speaker knows.

 Autosegmental analyses tend to enrich the representation in order to arrive at a single UR.

 Allomorphic analyses accept the existence of two minimally different URs (e.g. /de/ dez/) and concentrate on their selection.

 Because of the minimality of the difference, the analysis looks like it is repeating redundant information.

 But it remains to be proved whether this redundancy does not in fact reflect a redundancy in the speaker's knowledge

 PU effects suggests that items that share meaning-form pairing are somehow related. This association might be taken to argue that the first /de/ of /de/ and /dez/ is the same in some cognitive sense.

 It is clear that at least in some cases, a single UR is not an attractive option.

 The question is raised then whether the choice between the two allomorphs is made in the same module that computes wellformedness.

 Although this leads to phonology as much more than a blind filter, there seems to be some reason to believe it is true (Surmiran).

 ...and the entire debate has consequences for a modular view of language – phonology is now choosing allomorphs, not just interpreting sequences of phonemes etc...

In this course, I hope to have shown

- The basic assumptions of phonological theory
- 2) That allomorphy is crucial for many fundamental aspects of our linguistic model, to wit storage, representation, intermodular communication and the role of each module.

• I would like to end with a classic puzzle from language change.

• We have been assuming that there is no storage of two bases when they are identical, e.g. *play, played* [pleɪ, pleɪ-d].

 In other words, there is no UR /pleid/, only /plei/+/d/.

 However, we know that morphologicallycomplex words, when they are frequent enough, resist change.

• For instance, one may suppose that the [t] at the end of forms like [fɛlt] was originally regular /d/ that underwent devoicing. At that point, speakers did not store a /t/, because phonology gave it to them /fɛl+d/=> [fɛlt]

 Then English lost devoicing. Why didn't the /d/ return? If today this form still has the [t], it means that even when it was perfectly predicatable, it was stored...

 Much of our discussion revolved around the necessity of storing allomorphs or not. It seems however that forms are sometimes stored even if that is not necessary...

 What are the consequences for a theory of allomorphy then? Or can we just say that this is irrelevant?

 What are the consequences for a theory of allomorphy then? Or can we just say that this is irrelevant?

...to be continued...